## Chapter 6

## Review

Let us review what we have seen so far before we proceed.

### 6.1 Electromagnetism

Maxwell's equations:

$$
\begin{align*}
\nabla \cdot \mathbf{E} & =\frac{\rho}{\epsilon_{0}} \quad(\text { Gauss Law })  \tag{6.1}\\
\nabla \cdot \mathbf{B} & =0 \quad \text { (Nonexistence of Magnetic Monopoles) }  \tag{6.2}\\
\nabla \times \mathbf{E} & =-\frac{\partial \mathbf{B}}{\partial t} \quad \text { (Faraday induction Law) }  \tag{6.3}\\
\nabla \times \mathbf{B} & =\mu_{0} \mathbf{j}+\mu_{0} \epsilon_{0} \frac{\partial \mathbf{E}}{\partial t} \quad \text { (Ampere Law) } \tag{6.4}
\end{align*}
$$

naturally imply charge conservation (divergence of Ampere's Law):

$$
\begin{equation*}
\frac{\partial \rho}{\partial t}+\nabla \cdot \mathbf{j}=0 \tag{6.5}
\end{equation*}
$$

We may define electromagnetic potentials

$$
\begin{align*}
\mathbf{E} & =-\nabla \phi-\frac{\partial \mathbf{A}}{\partial t}  \tag{6.6}\\
\mathbf{B} & =\nabla \times \mathbf{A} \tag{6.7}
\end{align*}
$$

which under gauge transformations

$$
\begin{align*}
\phi^{\prime} & =\phi-\frac{\partial f}{\partial t}  \tag{6.8}\\
\mathbf{A}^{\prime} & =\mathbf{A}+\nabla f \tag{6.9}
\end{align*}
$$

produce the same electromagnetic fields

$$
\begin{align*}
\mathbf{E}^{\prime} & =\mathbf{E}  \tag{6.10}\\
\mathbf{B}^{\prime} & =\mathbf{B} \tag{6.11}
\end{align*}
$$

The Lorenz gauge

$$
\begin{equation*}
\nabla \cdot \mathbf{A}+\mu_{0} \epsilon_{0} \frac{\partial \phi}{\partial t}=0 \quad(\text { Lorenz Gauge }) \tag{6.12}
\end{equation*}
$$

is particularly useful for electromagnetic waves. In fact, inserting the potentials in the Maxwell Eqs. and imposing the Lorenz gauge, we obtain

$$
\begin{align*}
\square^{2} \phi & =-\frac{1}{c^{2}} \frac{\partial^{2} \phi}{\partial t^{2}}+\nabla^{2} \phi=-\frac{\rho}{\epsilon_{0}}  \tag{6.13}\\
\square^{2} \mathbf{A} & =-\frac{1}{c^{2}} \frac{\partial^{2} \mathbf{A}}{\partial t^{2}}+\nabla^{2} \mathbf{A}=-\mu_{0} \mathbf{j} \tag{6.14}
\end{align*}
$$

i.e., the potentials propagate according to the classical non-homogenous wave equation with constant speed equal to the speed of light $c^{2}=1 / \mu_{0} \epsilon_{0}$. Unification: E\&M $\leftrightarrow$ Optics.

Finally, given the E\&M fields, corresponding E\&M forces $\mathbf{F}$ act on particles as:

$$
\begin{equation*}
\mathbf{F}=q(\mathbf{E}+\mathbf{v} \times \mathbf{B}) \tag{6.15}
\end{equation*}
$$

### 6.2 Special Relativity

Postulate 1: The laws of physics are the same in all inertial frames.
Postulate 2: The speed of light is the same in all inertial frames.
Postulate 2 follows from postulate 1 , since E\&M is a set of physical laws.

### 6.2.1 Coordinates and Metric

Contravariant coordinates

$$
\begin{equation*}
x^{\mu}=\left(x^{0}, x^{1}, x^{2}, x^{3}\right)=(c t, x, y, z) \tag{6.16}
\end{equation*}
$$

Line element $d s$

$$
\begin{equation*}
d s^{2}=\eta_{\mu \nu} d x^{\nu} d x^{\mu} \tag{6.17}
\end{equation*}
$$

Metric $\eta_{\mu \nu}$

$$
\eta_{\mu \nu}=\left(\begin{array}{rrrr}
-1 & 0 & 0 & 0  \tag{6.18}\\
0 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 \\
0 & 0 & 0 & 1
\end{array}\right)
$$

Covariant coordinates $x_{\mu}$

$$
\begin{equation*}
x_{\mu}=n_{\mu \nu} x^{\nu}=(-c t, x, y, z) \tag{6.19}
\end{equation*}
$$

Similarly,

$$
\begin{equation*}
x^{\mu}=\eta^{\mu \nu} x_{\nu} \tag{6.20}
\end{equation*}
$$

where $\eta^{\mu \nu}$ inverse metric. Flat space: $\eta^{\mu \nu}=\eta_{\mu \nu}$.
Einstein sum convention: crossed repeated indices are summed over, e.g. $\eta^{\mu \nu} x_{\nu} \equiv \sum_{\nu=0}^{3} \eta^{\mu \nu} x_{\nu}$

### 6.2.2 Invariance of the Line Element:

Under 3d spatial rotations, coordinates transform as

$$
\begin{equation*}
x^{\mu \prime}=\frac{\partial x^{\mu \prime}}{\partial x^{\nu}} x^{\nu}=\Lambda_{\nu}^{\mu} x^{\nu} \tag{6.21}
\end{equation*}
$$

with

$$
\Lambda_{\nu}^{\mu}=\left(\begin{array}{rrrr}
1 & 0 & 0 & 0  \tag{6.22}\\
0 & \cos \theta & \sin \theta & 0 \\
0 & -\sin \theta & \cos \theta & 0 \\
0 & 0 & 0 & 1
\end{array}\right)
$$

such that the 3d spatial line element

$$
\begin{equation*}
l^{2}=x^{2}+y^{2}+z^{2}=\left(x^{\prime}\right)^{2}+\left(y^{\prime}\right)^{2}+\left(z^{\prime}\right)^{2}=l^{\prime 2} \tag{6.23}
\end{equation*}
$$

is invariant.
Similary, under a boost with velocity $v$ in the x -direction, the Lorentz transformations with

$$
\Lambda^{\mu}{ }_{\nu}=\left(\begin{array}{rrrr}
\gamma & -\beta \gamma & 0 & 0  \tag{6.24}\\
-\beta \gamma & \gamma & 0 & 0 \\
0 & 0 & 1 & 0 \\
0 & 0 & 0 & 1
\end{array}\right)
$$

where

$$
\begin{align*}
\beta & =\frac{v}{c}<1  \tag{6.25}\\
\gamma & =\frac{1}{\sqrt{1-\beta^{2}}}>1 \tag{6.26}
\end{align*}
$$

leave the 4-d line element $s^{2}=-c^{2} t^{2}+x^{2}+y^{2}+z^{2}$ invariant.

### 6.2.3 Time Dilation and Space Contraction

As a result, we have time dilation:

$$
\begin{equation*}
\Delta t^{\prime}=\Delta t / \gamma \tag{6.27}
\end{equation*}
$$

and space contraction

$$
\begin{equation*}
\Delta x^{\prime}=\gamma \Delta x \tag{6.28}
\end{equation*}
$$

### 6.2.4 Tensors

Tensors defined according to their Lorentz transformations:

$$
\begin{equation*}
T^{\prime \mu \nu}=\Lambda_{\alpha}^{\mu} \Lambda_{\beta}^{\nu} T^{\alpha \beta} \tag{6.29}
\end{equation*}
$$

scalar: tensor of rank 0 (invariant), vector: rank 1 , matrix: rank 2 , etc...

Example: 4-velocity $U^{\mu}$ :

$$
\begin{equation*}
U^{\mu}=\frac{d x^{\mu}}{d \tau}=\left(\frac{d x^{0}}{d \tau}, \frac{d x^{i}}{d \tau}\right)=\left(\frac{c d t}{d \tau}, \gamma \frac{d x^{i}}{d t}\right)=(\gamma c, \gamma \mathbf{v})=\gamma(c, \mathbf{v}) \tag{6.30}
\end{equation*}
$$

4-momentum (massive particles):

$$
\begin{equation*}
P^{\mu} \equiv m U^{\mu}=(\gamma m c, \gamma m \mathbf{v}) \equiv\left(\frac{E}{c}, \mathbf{p}\right) \quad \text { Momentum (massive particles) } \tag{6.31}
\end{equation*}
$$

Classical limit $\left(v \ll c\right.$ we have $\gamma=\left(1-\beta^{2}\right)^{-1 / 2} \approx 1+\beta^{2} / 2+O\left(\beta^{4}\right)$ :

$$
\begin{align*}
& E=\gamma m c^{2} \approx m c^{2}+\frac{1}{2} m v^{2}+O\left(\beta^{4}\right)  \tag{6.32}\\
& \mathbf{p}=\gamma m \mathbf{v} \approx m \mathbf{v}+O\left(\beta^{3}\right) \tag{6.33}
\end{align*}
$$

More generally, for massive and massless particles:

$$
\begin{equation*}
P^{\mu}=\frac{d x^{\mu}}{d \lambda} \equiv\left(\frac{E}{c}, \mathbf{p}\right) \quad \text { Momentum (massive and massless particles) } \tag{6.34}
\end{equation*}
$$

where $\lambda$ parametrizes the trajectory. Massive particles: $\lambda=\tau / m$. Massless particles: $\tau=m=0$, so choose something else or replace $\lambda \rightarrow t$. Finally

$$
\begin{equation*}
P^{\mu} P_{\mu}=-\left(\frac{E}{c}\right)^{2}+p^{2}=-m^{2} c^{2} \quad \rightarrow \quad E^{2}=(p c)^{2}+\left(m c^{2}\right)^{2} \tag{6.35}
\end{equation*}
$$

### 6.2.5 Doppler Effect

Applying the Lorentz transformations to $P^{\mu}=(E / c, \mathbf{p})$ for a photon, we have

$$
\begin{equation*}
E_{\gamma}^{\prime}=\sqrt{\frac{1-\beta}{1+\beta}} E_{\gamma} \tag{6.36}
\end{equation*}
$$

and since $E_{\gamma}=h \nu$ :

$$
\begin{equation*}
\nu^{\prime}=\sqrt{\frac{1-\beta}{1+\beta}} \nu \tag{6.37}
\end{equation*}
$$

or

$$
\begin{equation*}
\lambda^{\prime}=\sqrt{\frac{1+\beta}{1-\beta}} \lambda \quad \text { Doppler Redshift } \tag{6.38}
\end{equation*}
$$

The redshift $z$ is defined as

$$
\begin{equation*}
z=\frac{\Delta \lambda}{\lambda}=\frac{\lambda^{\prime}-\lambda}{\lambda}=\sqrt{\frac{1+\beta}{1-\beta}}-1 \approx \sqrt{(1+\beta)^{2}}-1=\frac{v}{c} \tag{6.39}
\end{equation*}
$$

### 6.2.6 Covariant Formulation

Finally, one can show that the electromagnetic equations can be written in terms of tensors in a covariant form. Defining:

$$
\begin{align*}
j^{\mu} & =(c \rho, \mathbf{j})  \tag{6.40}\\
A^{\alpha} & =(\phi / c, \mathbf{A})  \tag{6.41}\\
F^{\mu \nu} & =\frac{\partial A^{\nu}}{\partial x_{\mu}}-\frac{\partial A^{\mu}}{\partial x_{\nu}}  \tag{6.42}\\
f^{\mu} & =q F^{\mu \nu} U_{\nu} \tag{6.43}
\end{align*}
$$

we have charge conservation:

$$
\begin{equation*}
\frac{\partial j^{\mu}}{\partial x^{\mu}}=0 \tag{6.44}
\end{equation*}
$$

Wave equation:

$$
\begin{equation*}
\square A^{\alpha}=-\mu_{0} j^{\alpha}, \tag{6.45}
\end{equation*}
$$

Gauge transformation:

$$
\begin{equation*}
A^{\prime \alpha}=A^{\alpha}+\frac{\partial f}{\partial x_{\alpha}} \tag{6.46}
\end{equation*}
$$

Lorenz gauge:

$$
\begin{equation*}
\frac{\partial A^{\alpha}}{\partial x^{\alpha}}=0 \tag{6.47}
\end{equation*}
$$

Maxwell's equations:

$$
\begin{align*}
\frac{\partial F^{\mu \nu}}{\partial x^{\nu}} & =\mu_{0} j^{\mu}  \tag{6.48}\\
\frac{\partial F_{\mu \nu}}{\partial x^{\sigma}}+\frac{\partial F_{\sigma \mu}}{\partial x^{\nu}}+\frac{\partial F_{\nu \sigma}}{\partial x^{\mu}} & =0 \tag{6.49}
\end{align*}
$$

and Lorentz force:

$$
\begin{equation*}
f^{\mu}=q F^{\mu \nu} U_{\nu} \tag{6.50}
\end{equation*}
$$

### 6.2.7 Energy-Momentum Tensor

The energy-momentum tensor $T^{\mu \nu}$ is generally defined as
$T^{\mu \nu}="$ flux of $P^{\mu}$ across surface of constant $x^{\nu "}=P^{\mu}$ per surface $\perp$ to $x^{\nu}$.
e.g.
$T^{00}$ : density of $P^{0}=E$ : energy density
$T^{i i}$ : flux of $P^{i}$ in the $x^{i}$ direction : force $f^{i}$ per area $\perp$ to $x^{i}=$ pressure
For a perfect fluid:

$$
\begin{equation*}
T^{\alpha \beta}=(\rho+P) U^{\alpha} U^{\beta}+P \eta^{\alpha \beta} \tag{6.51}
\end{equation*}
$$

### 6.3 General Relativity

### 6.3.1 Equivalence Principle

Locally inertial frames: freely-falling frames in small enough regions for which special relativity holds locally.

Weak Equivalence Principle (WEP): "In small enough regions of space-time, the motion of freely-falling particles is the same in a uniform gravitational field and in a uniformly accelerated frame, i.e. the laws of Mechanics take the same form as in an unaccelerated frame in the absence of gravitation. As a result, at every point of space-time in an arbitrary gravitational field, it is possible to choose a "locally inertial frame" such that in small enough regions the laws of Mechanics reduce to those of special relativity."

Strong Equivalence Principle (SEP): Replace laws of Mechanics by laws of Physics above.

### 6.3.2 Geodesics

$\mathrm{K}^{\prime}$ frame: freely-falling coordinates $\xi^{\alpha}$, K frame: coordinates $x^{\beta}$.

$$
\begin{equation*}
\frac{d^{2} \xi^{\alpha}}{d \tau^{2}}=0 \tag{6.52}
\end{equation*}
$$

Change $\xi^{\alpha} \rightarrow x^{\beta}$ :

$$
\begin{equation*}
\frac{d^{2} x^{\gamma}}{d \tau^{2}}+\Gamma_{\mu \nu}^{\gamma} \frac{d x^{\mu}}{d \tau} \frac{d x^{\nu}}{d \tau}=0 \tag{6.53}
\end{equation*}
$$

where the affine connection $\Gamma_{\mu \nu}^{\gamma}$

$$
\begin{equation*}
\Gamma_{\mu \nu}^{\gamma}=\frac{\partial x^{\gamma}}{\partial \xi^{\beta}} \frac{\partial^{2} \xi^{\beta}}{\partial x^{\mu} \partial x^{\nu}} \tag{6.54}
\end{equation*}
$$

Similarly, the metric tensor $g_{\mu \nu}$ in coordinates $x^{\mu}$ :

$$
\begin{equation*}
g_{\mu \nu}=\frac{\partial \xi^{\alpha}}{\partial x^{\mu}} \frac{\partial \xi^{\beta}}{\partial x^{\nu}} \eta_{\alpha \beta} \tag{6.55}
\end{equation*}
$$

### 6.3.3 Metric and Connection

Differentiating Eq. 1.163, changing indices and adding:

$$
\begin{equation*}
\Gamma_{\mu \lambda}^{\sigma}=\frac{g^{\sigma \nu}}{2}\left(\frac{\partial g_{\mu \nu}}{\partial x^{\lambda}}-\frac{\partial g_{\lambda \mu}}{\partial x^{\nu}}+\frac{\partial g_{\nu \lambda}}{\partial x^{\mu}}\right) \tag{6.56}
\end{equation*}
$$

One can show that in the Newtonian limit with

$$
\begin{equation*}
g_{\alpha \beta}=\eta_{\alpha \beta}+h_{\alpha \beta}(x), \quad \text { with } h_{\alpha \beta}(x) \ll \eta_{\alpha \beta} \tag{6.57}
\end{equation*}
$$

the geodesics equation gives

$$
\begin{equation*}
\frac{d^{2} \mathbf{x}}{d t^{2}}=\frac{c^{2}}{2} \nabla h_{00}=-\nabla \Psi \tag{6.58}
\end{equation*}
$$

and with appropriate boundary conditions

$$
\begin{equation*}
g_{00}=-(1+2 \Psi) \tag{6.59}
\end{equation*}
$$

### 6.3.4 Time Dilation and Gravitational Redshift

Therefore, the ratio of times between 1 and 2 is

$$
\begin{equation*}
\frac{d t_{2}}{d t_{1}}=\left(\frac{g_{00}\left(x_{2}\right)}{g_{00}\left(x_{1}\right)}\right)^{-1 / 2} \tag{6.60}
\end{equation*}
$$

i.e. the ratio of frequencies $\nu \propto 1 / d t$ will be

$$
\begin{equation*}
\frac{\nu_{2}}{\nu_{1}}=\left(\frac{g_{00}\left(x_{2}\right)}{g_{00}\left(x_{1}\right)}\right)^{1 / 2} \tag{6.61}
\end{equation*}
$$

Weak field regime: $g_{00}=-(1+2 \Psi)$ and

$$
\begin{equation*}
\frac{\delta \nu}{\nu_{1}}=\frac{\nu_{2}-\nu_{1}}{\nu_{1}} \approx \Psi\left(x_{2}\right)-\Psi\left(x_{1}\right) \tag{6.62}
\end{equation*}
$$

### 6.3.5 General Covariance

Equivalence Principle: Gravitational effects can be obtained by writing equations for general gravitational fields in a locally inertial frame where gravitational effects disappear (e.g. $d \xi^{2} / d \tau^{2}=$ 0 ) and transforming to the Laboratory coordinates to find the equation in the Lab. frame.

Principle of General Covariance: alternative to the Equivalence Principle (same physical content).
Principle of General Covariance: A physical equation holds in general gravitational fields (i.e. in general relativity) if:
a) the equation holds in the absence of gravitation; i.e. it agrees with special relativity when $g_{\mu \nu}=\eta_{\mu \nu}$ and $\Gamma^{\alpha}{ }_{\mu \nu}=0$.
b) the equation is generally covariant, i.e. it preserves its form under a general coordinate transformation.

## Volume Element

Define the determinant of the metric:

$$
\begin{equation*}
g=\operatorname{Det} g_{\mu \nu} \tag{6.63}
\end{equation*}
$$

from which we can show that

$$
\begin{equation*}
\sqrt{-g^{\prime}} d^{4} x^{\prime}=\sqrt{-g} d^{4} x \tag{6.64}
\end{equation*}
$$

i.e. $\sqrt{-g} d^{4} x$ is an invariant (scalar) volume element.

### 6.3.6 Transformation of the Affine Connection

The affine connection was defined as

$$
\begin{equation*}
\Gamma_{\mu \nu}^{\lambda}=\frac{\partial x^{\lambda}}{\partial \xi^{\alpha}} \frac{\partial^{2} \xi^{\alpha}}{\partial x^{\mu} \partial x^{\nu}} \tag{6.65}
\end{equation*}
$$

and is not a tensor as it transforms as

$$
\begin{equation*}
\Gamma_{\mu \nu}^{\prime \lambda}=\frac{\partial x^{\prime \lambda}}{\partial x^{\rho}} \frac{\partial x^{\tau}}{\partial x^{\prime \mu}} \frac{\partial x^{\sigma}}{\partial x^{\prime \nu}} \Gamma_{\tau \sigma}^{\rho}-\frac{\partial x^{\rho}}{\partial x^{\prime \nu}} \frac{\partial x^{\sigma}}{\partial x^{\mu}} \frac{\partial^{2} x^{\prime \lambda}}{\partial x^{\rho} \partial x^{\sigma}} \tag{6.66}
\end{equation*}
$$

### 6.3.7 Covariant Differentiation

For a contravariant vector:

$$
\begin{equation*}
V^{\prime \mu}=\frac{\partial x^{\prime \mu}}{\partial x^{\nu}} V^{\nu} \tag{6.67}
\end{equation*}
$$

and its derivative is

$$
\begin{equation*}
\frac{\partial V^{\prime \mu}}{\partial x^{\prime \lambda}}=\frac{\partial x^{\prime \mu}}{\partial x^{\nu}} \frac{\partial x^{\rho}}{\partial x^{\prime \lambda}} \frac{\partial V^{\nu}}{\partial x^{\rho}}+\frac{\partial^{2} x^{\prime \mu}}{\partial x^{\nu} \partial x^{\rho}} \frac{\partial x^{\rho}}{\partial x^{\prime \lambda}} V^{\nu} \tag{6.68}
\end{equation*}
$$

Combining the transformations for $\Gamma_{\mu \nu}^{\lambda}$ and $V^{\nu}$ we have

$$
\begin{equation*}
\Gamma_{\lambda \kappa}^{\prime \mu} V^{\prime \kappa}=\frac{\partial x^{\prime \mu}}{\partial x^{\nu}} \frac{\partial x^{\rho}}{\partial x^{\prime \lambda}} \Gamma_{\rho \sigma}^{\nu} V^{\sigma}-\underbrace{\frac{\partial^{2} x^{\prime \mu}}{\partial x^{\rho} \partial x^{\sigma}} \frac{\partial x^{\rho}}{\partial x^{\prime \lambda}} V^{\sigma}}_{\frac{\partial^{2} x^{\prime \prime}}{\partial x \rho x^{\nu}} \frac{\partial x^{\rho}}{\partial x^{\prime} \lambda} V^{\nu}} \tag{6.69}
\end{equation*}
$$

Adding the two equations above, the inhomogeneous terms cancel out and we get

$$
\begin{equation*}
\frac{\partial V^{\prime \mu}}{\partial x^{\prime \lambda}}+\Gamma_{\lambda \kappa}^{\prime \mu} V^{\prime \kappa}=\frac{\partial x^{\prime \mu}}{\partial x^{\nu}} \frac{\partial x^{\rho}}{\partial x^{\prime \lambda}}\left(\frac{\partial V^{\nu}}{\partial x^{\rho}}+\Gamma_{\rho \sigma}^{\nu} V^{\sigma}\right) \tag{6.70}
\end{equation*}
$$

The combination in brackets is the covariant derivative, which transforms as a tensor:

$$
\begin{equation*}
\nabla_{\lambda} V^{\mu}=V_{; \lambda}^{\mu}=\frac{\partial V}{\partial x^{\lambda}}+\Gamma_{\lambda \kappa}^{\mu} V^{\kappa} \tag{6.71}
\end{equation*}
$$

Extended to a general tensor:

$$
\begin{equation*}
T_{\lambda ; \rho}^{\mu \sigma}=\frac{\partial T_{\lambda}^{\mu \sigma}}{\partial x^{\rho}}+\Gamma_{\rho \nu}^{\mu} T_{\lambda}^{\nu \sigma}+\Gamma_{\rho \nu}^{\sigma} T_{\lambda}^{\mu \nu}-\Gamma_{\lambda \rho}^{\kappa} T^{\mu \sigma}{ }_{\kappa} \tag{6.72}
\end{equation*}
$$

The covariant derivative of the metric is zero, as can be checked, using Eq. 1.172:

$$
\begin{equation*}
g_{\mu \nu ; \lambda}=\frac{\partial g_{\mu \nu}}{\partial x^{\lambda}}-\Gamma_{\lambda \mu}^{\rho} g_{\rho \nu}-\Gamma_{\lambda \nu}^{\rho} g_{\mu \rho}=0 \tag{6.73}
\end{equation*}
$$

Importance of covariant derivatives for forming covariant equations:

1) They transform tensors into tensors, i.e. if $A^{\mu \nu}$ is a tensor, so is $\nabla_{\lambda} A^{\mu \nu}$.
2) They reduce to ordinary derivatives in the absence of gravity (when $g_{\mu \nu}=\eta_{\mu \nu}$ and $\Gamma_{\mu \nu}^{\lambda}=0$ ).

Therefore, the principle of general covariance allows us to apply the following algorithm to obtain equations that are generally covariant and true in the presence of gravity:
a) Write the equation in special relativity (which holds in the absence of gravitation)
b) Replace $\eta_{\mu \nu} \rightarrow g_{\mu \nu}$
c) Replace $\partial / \partial x^{\mu} \rightarrow \nabla_{\mu}$.

### 6.4 Curvature

The connection is not a tensor, but the combination defined as the Riemann curvature tensor

$$
\begin{equation*}
R_{\mu \nu \kappa}^{\lambda}=\frac{\partial \Gamma_{\mu \nu}^{\lambda}}{\partial x^{\kappa}}-\frac{\partial \Gamma_{\mu \kappa}^{\lambda}}{\partial x^{\nu}}+\Gamma_{\mu \nu}^{\eta} \Gamma_{\kappa \eta}^{\lambda}-\Gamma_{\mu \kappa}^{\eta} \Gamma_{\nu \eta}^{\lambda} \quad(\text { Riemann Tensor }) \tag{6.74}
\end{equation*}
$$

is indeed a tensor:

$$
\begin{equation*}
R_{\rho \sigma \eta}^{\prime \tau}=\frac{\partial x^{\prime \tau}}{\partial x^{\lambda}} \frac{\partial x^{\mu}}{\partial x^{\prime \rho}} \frac{\partial x^{\nu}}{\partial x^{\prime \sigma}} \frac{\partial x^{\kappa}}{\partial x^{\prime \eta}} R_{\mu \nu \kappa}^{\lambda} \tag{6.75}
\end{equation*}
$$

Tensors of lower rank by contracting the Riemann Tensor. Ricci tensor:

$$
\begin{equation*}
R_{\mu \nu}=g^{\lambda \kappa} R_{\lambda \mu \kappa \nu}=R_{\mu \kappa \nu}^{\kappa} \quad(\text { Ricci Tensor }) \tag{6.76}
\end{equation*}
$$

Ricci scalar:

$$
\begin{equation*}
\left.R=g^{\mu \nu} R_{\mu \nu}=R_{\mu}^{\mu} \quad \text { (Ricci Scalar }\right) \tag{6.77}
\end{equation*}
$$

It can also be shown that these are the only tensor and scalar that can be formed from the Riemann tensor and the metric.

### 6.4.1 Commutation of Covariant Derivatives

Covariant derivative to a covariant vector $V_{\mu}$ twice in reverse order leads to

$$
\begin{equation*}
V_{\mu ; \nu ; \kappa}-V_{\mu ; \kappa ; \nu}=-R_{\mu \nu \kappa}^{\sigma} V_{\sigma} \tag{6.78}
\end{equation*}
$$

Therefore, if the Riemann tensor vanishes, covariant derivatives commute (as they should in flat space). For a space-time with curvature, covariant derivatives do not commute.

One can show a number of properties of the Riemann Tensor, these lead to the Bianchi Identities, which imply:

$$
\begin{equation*}
\left(R^{\mu \nu}-\frac{1}{2} g^{\mu \nu} R\right)_{; \mu}=0 \tag{6.79}
\end{equation*}
$$

### 6.5 Einstein Equations

Finally, imposing that the gravitational field equations must satisfy certain conditions, such as being tensorial, containing at most 2 derivatives of the metric, being consistent with the Bianchi identities, and reducing to Newtonian gravity in the appropriate limit, one finds that

$$
\begin{equation*}
G_{\mu \nu}=8 \pi G T_{\mu \nu} \quad(\text { Einstein Equations }) \tag{6.80}
\end{equation*}
$$

where

$$
\begin{equation*}
G_{\mu \nu}=R^{\mu \nu}-\frac{1}{2} g^{\mu \nu} R \tag{6.81}
\end{equation*}
$$

This result can also be obtained by the Einstein-Hilbert action:

$$
\begin{equation*}
S_{\mathrm{EH}, \mathrm{vac}}=\int d^{4} x \sqrt{-g} R \tag{6.82}
\end{equation*}
$$

if we require this action to be stationary under variations with respect to the metric $g^{\mu \nu}$.


Figure 6.1: Scale factor and expansion. Comoving coordinates do not change, but physical coordianates expand with the scale factor $a(t)$. (Dodelson).

### 6.6 Expansion of the Universe

Cosmological Principle: Assumption that the Universe is homogeneous (same at every point, therefore symmetric under translations) and isotropic (same in all directions, therefore symmetric under rotations).

Expanding universe: useful to define comoving coordinates $\mathbf{x}$ : do not change with the expansion, parametrized in terms of the scale factor $a(t)$ (see Fig. 6.1.

Then physical distances $r$ change with change such that

$$
\begin{equation*}
\text { physical distance }=a(t) \times \text { comoving distance } . \tag{6.83}
\end{equation*}
$$

or

$$
\begin{equation*}
\mathbf{r}(t)=a(t) \mathbf{x} \tag{6.84}
\end{equation*}
$$

### 6.7 The Friedmann-Robertson-Walker metric

Generalizes Minkowski metric to include expansion on the spatial hypersurfaces, maintaining spatial isotropy and homogeneity. Flat Universe it is given by

$$
\begin{equation*}
d s^{2}=-d t^{2}+a^{2}(t) d l^{2} \tag{6.85}
\end{equation*}
$$

where

$$
\begin{equation*}
d l^{2}=d x^{2}+d y^{2}+d z^{2}=d D^{2}+D^{2} d \alpha^{2} \tag{6.86}
\end{equation*}
$$

and

$$
\begin{equation*}
d \alpha^{2}=d \theta^{2}+\sin ^{2} \theta d \phi^{2} \tag{6.87}
\end{equation*}
$$

For universes with curvature $k$, generalize

$$
\begin{align*}
d l^{2} & =R^{2}\left[d D^{2}+f_{k}^{2}(D) d \alpha^{2}\right]  \tag{6.88}\\
& \left.=R^{2}\left[\frac{d D_{A}^{2}}{1-k D_{A}^{2}}+D_{A}^{2} d \alpha^{2}\right] \quad \text { (3d curved space }\right) \tag{6.89}
\end{align*}
$$

such that:
$D_{A}=f_{k}(D)=\frac{\sin (\sqrt{k} D)}{\sqrt{k}}=\left\{\begin{array}{llll}\sinh (D), & k=-1, & \text { Negative Curvature, } & \text { Open Universe } \\ D, & k=0, & \text { Zero Curvature, } & \text { Flat Universe (6.90) } \\ \sin (D), & k=+1, & \text { Positive Curvature, } & \text { Closed Universe }\end{array}\right.$

### 6.8 The Friedmann Equations

(FRW metric + Einstein Equations) $\rightarrow$ Friedmann Equations:

$$
\begin{align*}
\left(\frac{\dot{a}}{a}\right)^{2} & =\frac{8 \pi G}{3} \rho  \tag{6.91}\\
\frac{\ddot{a}}{a} & =-\frac{4 \pi G}{3}(\rho+3 P) \tag{6.92}
\end{align*}
$$

with curvature, generalizes to

$$
\begin{align*}
\left(\frac{\dot{a}}{a}\right)^{2} & =\frac{8 \pi G}{3} \rho-\frac{k}{a^{2}}  \tag{6.93}\\
\frac{\ddot{a}}{a} & =-\frac{4 \pi G}{3}(\rho+3 P) \tag{6.94}
\end{align*}
$$

In a universe with no curvature, the density is called critical

$$
\begin{equation*}
\rho_{\text {crit }}(t)=\frac{3 H^{2}(t)}{8 \pi G} \tag{6.95}
\end{equation*}
$$

Define the density parameter

$$
\begin{equation*}
\Omega_{i}(t)=\frac{\rho_{i}(t)}{\rho_{\text {crit }}(t)} \tag{6.96}
\end{equation*}
$$

and the Friedmann equation becomes

$$
\begin{equation*}
E^{2}(t)=\frac{H^{2}(t)}{H_{0}^{2}}=\left[\Omega_{k} a^{-2}+\Omega_{\mathrm{m}} a^{-3}+\Omega_{\mathrm{r}} a^{-4}+\Omega_{\Lambda}\right] \tag{6.97}
\end{equation*}
$$

where

$$
\begin{equation*}
\Omega_{k}=-k / H_{0}^{2}=1-\left(\Omega_{\mathrm{m}}+\Omega_{\mathrm{r}}+\Omega_{\Lambda}\right) \tag{6.98}
\end{equation*}
$$

For a Universe with both matter and cosmological constant, we have

$$
\begin{equation*}
a(t)=\left(\frac{\Omega_{\mathrm{m}}}{\Omega_{\Lambda}}\right)^{1 / 3} \sinh ^{2 / 3}\left(\frac{3 \sqrt{\Omega_{\Lambda}} H_{0}}{2} t\right) \quad \text { (Matter }+ \text { Cosmological Constant) } \tag{6.99}
\end{equation*}
$$

In the context of an expanding universe, the gravitational (dynamical) redshift is due to the stretch of space-time itself and relates to the scale factor

$$
\begin{equation*}
1+z=\frac{1}{a} \tag{6.100}
\end{equation*}
$$

### 6.9 Cosmological Distances

### 6.9.1 Comoving Radial Distance

The comoving radial distance $D$ can be obtained by considering the a radial path of photons, in which we have $d \alpha^{2}=0$ (radial) and $d s^{2}=-d t^{2}+a^{2}(t) d D^{2}=0$ (photons), so that $D$ can be expressed as

$$
\begin{align*}
D & =\int d D=\int_{t}^{\text {age }} \frac{d t}{a(t)}=\int_{a}^{1} \frac{d a}{\dot{a} a}=-\int_{z}^{0} \frac{d z}{H(z)} \\
& =\int_{0}^{z} \frac{d z}{H(z)} \tag{6.100}
\end{align*}
$$

where we used $d a=-a^{2} d z$ and $H(z)=\dot{a} / a$. Notice that $D$ depends on the curvature only via the Hubble parameter from the Friedmann's equations. We may also define a physical radial distance $d_{p}=a(t) D$.

### 6.9.2 Comoving Horizon

The comoving horizon $D_{H}$ is similar to $D$, but instead of integrating from $z=0$ to a certain redshift $z$, we integrate from $z$ to $z=\infty$, effectivelly finding the comoving size of the universe at $z$ :

$$
\begin{equation*}
D_{H}=\int_{0}^{t} \frac{d t}{a(t)}=\int_{0}^{a} \frac{d a}{\dot{a} a}=\int_{z}^{\infty} \frac{d z}{H(z)} \tag{6.101}
\end{equation*}
$$

We may also define a physical horizon $d_{H}=a(t) D_{H}$.

### 6.9.3 Angular Diameter Distance

The comoving angular diameter distance $D_{A}$ is defined such that it gives an object's comoving size $d l$ when it is multiplied by the object angular size $d \alpha$

$$
\begin{equation*}
d l=D_{A} d \alpha \tag{6.102}
\end{equation*}
$$

From the metric definition, with $d D=0$ we can see that it is given in terms of $D$ by

$$
D_{A}=f_{k}(D)=\frac{\sin (\sqrt{k} D)}{\sqrt{k}}=\left\{\begin{array}{lll}
\sinh (D), & k=-1, & \text { Negative Curvature, Open Universe }  \tag{6.103}\\
D, & k=0, & \text { Zero Curvature, Flat Universe }(6 . \\
\sin (D), & k=+1, & \text { Positive Curvature, Closed Universe }
\end{array}\right.
$$

or similarly, with $k=-H_{0}^{2} \Omega_{k}$ :

$$
D_{A}=f_{k}(D)=\frac{\sin \left[\sqrt{-\Omega_{k}} H_{0} D\right]}{\sqrt{-\Omega_{k}} H_{0}}=\left\{\begin{array}{lll}
\frac{\sinh \left[\sqrt{\Omega_{k}} H_{0} D\right]}{\sqrt{\Omega_{k}} H_{0} D}, & \Omega_{k}>0, & \text { Negative Curvature, Open Universe }  \tag{6.104}\\
D, & \Omega_{k}=0, & \text { Zero Curvature, Flat Universe } \\
\frac{\sin \left[\sqrt{-\Omega_{k}} H_{0} D\right]}{\sqrt{-\Omega_{k} H_{0} D}}, & \Omega_{k}<0, & \text { Positive Curvature, Closed Universe }
\end{array}\right.
$$

### 6.9.4 Luminosity Distance

The physical luminosity distance $d_{L}$ is defined such that the Euclidean relation remains valid for the comoving flux, i.e.

$$
\begin{equation*}
F=\frac{L}{4 \pi d_{L}^{2}} \tag{6.105}
\end{equation*}
$$

and comparing with the previous equation, we conclude that

$$
\begin{equation*}
d_{L}=\frac{D_{A}}{a}=\frac{d_{A}}{a^{2}} \tag{6.106}
\end{equation*}
$$

In the case of a flat universe we have

$$
\begin{equation*}
d_{L}=\frac{D}{a}=\frac{d}{a^{2}} \quad \text { (Flat) } \tag{6.107}
\end{equation*}
$$

In any case, the relation $a^{2} d_{L}=d_{A}$ is always true for FRW cosmologies, independent of curvature and/or cosmology. It provides a consistency check for the homogeneity and isotropy of the Universe.

Finally, the comoving luminosity distance is

$$
\begin{equation*}
D_{L}=\frac{d_{L}}{a}=\frac{D_{A}}{a^{2}}=\frac{f_{k}(D)}{a^{2}} \tag{6.108}
\end{equation*}
$$

### 6.9.5 Comoving Volume

The comoving volume element in spherical coordinates is given by

$$
\begin{equation*}
d V(z)=\left(D_{A} d \theta\right)\left(D_{A} \sin \theta d \phi\right) d D=\frac{D_{A}^{2}(z)}{H(z)} d z d \Omega \tag{6.108}
\end{equation*}
$$

### 6.9.6 Comoving versus Physical

physical and comoving version. The physical distance $d$ is always obtained by multiplying the comoving distance $D$ by the scale factor $a(t)$. This holds also for the luminosity and angulardiameter distances such that:

$$
\begin{align*}
d_{p} & =a(t) D  \tag{6.109}\\
d_{H} & =a(t) D_{H}  \tag{6.110}\\
d_{A} & =a(t) D_{A}  \tag{6.111}\\
d_{L} & =a(t) D_{L} \tag{6.112}
\end{align*}
$$

and the physical volume is

$$
\begin{equation*}
d V_{\text {phys }}=\left(d_{A} d \theta\right)\left(d_{A} \sin \theta d \phi\right) d\left(d_{p}\right)=a^{3}(t) \frac{D_{A}^{2}(z)}{H(z)} d z d \Omega=a^{3}(t) d V \tag{6.113}
\end{equation*}
$$

### 6.10 Energy Evolution

The Bianchi identity says that the covariant derivative of the Einstein Tensor is zero:

$$
\begin{equation*}
\nabla_{\mu} G^{\mu \nu}=0 \tag{6.114}
\end{equation*}
$$

which, through the Einstein equations, automatically imply that the Energy-Momentum tensor is covariantly conseved:

$$
\begin{equation*}
\nabla_{\mu} T^{\mu \nu}=0 \tag{6.115}
\end{equation*}
$$

the $\nu=0$ equation implies $\left(T^{00}=g^{00} T^{0}{ }_{0}=\rho\right.$ and $\left.T^{i j}=g^{i k} T^{i}{ }_{k}=-\delta_{i k} / a^{2}\left(-\delta_{i k} P\right)=\delta_{i j} P / a^{2}\right)$ :

$$
\begin{align*}
\nabla_{\mu} T^{\mu 0} & =\partial_{\mu} T^{\mu 0}+\Gamma_{\mu \lambda}^{\mu} T^{\lambda 0}+\Gamma_{\mu \lambda}^{0} T^{\mu \lambda} \\
& =\partial_{0} T^{00}+\Gamma_{0 \lambda}^{0} T^{\lambda 0}+\Gamma_{i \lambda}^{i} T^{\lambda 0}+\Gamma_{0 \lambda}^{0} T^{0 \lambda}+\Gamma_{i \lambda}^{0} T^{i \lambda} \\
& =\partial_{0} T^{00}+\Gamma_{i \lambda}^{i} T^{\lambda 0}+\Gamma_{i \lambda}^{0} T^{i \lambda} \\
& =\partial_{0} T^{00}+\Gamma_{i 0}^{i} T^{00}+\Gamma_{i j}^{0} T^{i j} \\
& =\partial_{0} \rho+\delta_{i i} \frac{\dot{a}}{a} \rho+\left(\delta_{i j} a \dot{a}\right)\left(\frac{\delta_{i j} P}{a^{2}}\right) \\
& =\frac{\partial \rho}{\partial t}+3 \frac{\dot{a}}{a} \rho+3 \frac{\dot{a}}{a} P=0 \tag{6.111}
\end{align*}
$$

or with $P=w \rho$ :

$$
\begin{equation*}
\frac{\partial \rho}{\partial t}+3 H(1+w) \rho=0 \tag{6.112}
\end{equation*}
$$

the general solution to this equation as

$$
\begin{align*}
\frac{d \rho}{d t} & =-3 \frac{d a / d t}{a} \rho[1+w(t)] \\
\frac{d \rho}{\rho} & =-3[1+w(t)] \frac{d a}{a} \\
d \ln \rho & =-3[1+w(t)] d \ln a \\
\ln \rho & =-3 \int[1+w(a)] d \ln a+\text { const. } \\
\rho(a) & =\rho(1) \exp \left[-3 \int_{1}^{a} \frac{(1+w(a))}{a} d a\right] \tag{6.109}
\end{align*}
$$

In terms of redshift $z, a=(1+z)^{-1}, d a=-(1+z)^{-2} d z$, so that $d a / a=-d z /(1+z)$ and:

$$
\begin{equation*}
\rho(z)=\rho(0) \exp \left[3 \int_{0}^{z} \frac{[1+w(z)]}{1+z} d z\right] \tag{6.110}
\end{equation*}
$$

## Solutions for constant $w$

We can find solutions for cases when the universe content is dominated by different species with constant $w$ :

$$
\begin{equation*}
\rho(z)=\rho(0) \exp \left[3(1+w) \int_{0}^{z} \frac{d z}{1+z}\right]=\rho(0) \exp [3(1+w) \ln (1+z)] \tag{6.111}
\end{equation*}
$$

or

$$
\begin{equation*}
\rho(z)=\rho(0)(1+z)^{3(1+w)} \tag{6.112}
\end{equation*}
$$

### 6.11 Equilibrium Thermodynamics

distribution function $f(\mathbf{x}, \mathbf{p}, t)$ of a species in phase space $(\mathbf{x}, \mathbf{p})$ and time $t$, defined such that

$$
\begin{equation*}
N=f(\mathbf{x}, \mathbf{p}, t) d^{3} x d^{3} p \tag{6.113}
\end{equation*}
$$

is the number of particles in phase space element $d^{3} x d^{3} p$.
In thermodynamical equilibrium, the distribution function is independent of position angular direction, and given by

$$
f(\mathbf{x}, \mathbf{p}, t)=f(p, t)=\frac{1}{e^{(E-\mu) / T} \pm 1} \begin{cases}+ & \text { Fermi-Dirac }  \tag{6.114}\\ - & \text { Bose-Einstein }\end{cases}
$$

where $E=\sqrt{p^{2}+m^{2}}$, and both cases reduce to the Maxwell-Boltzmann distribution in the classical limit (high temperatures and low densitites):

$$
\begin{equation*}
f(p, t) \propto e^{-(E-\mu) / T} \quad \text { Classical } \tag{6.115}
\end{equation*}
$$

number density, energy density and pressure, respectively:

$$
\begin{align*}
n(\mathbf{x}, t) & =g \int \frac{d^{3} p}{(2 \pi)^{3}} f(\mathbf{x}, \mathbf{p}, t)  \tag{6.116}\\
\rho(\mathbf{x}, t) & =g \int \frac{d^{3} p}{(2 \pi)^{3}} E f(\mathbf{x}, \mathbf{p}, t)  \tag{6.117}\\
P(\mathbf{x}, t) & =g \int \frac{d^{3} p}{(2 \pi)^{3}} \frac{p^{2}}{3 E} f(\mathbf{x}, \mathbf{p}, t) \tag{6.118}
\end{align*}
$$

The Boltzmann equation then implies

$$
\begin{equation*}
T \propto \frac{1}{a} \tag{6.119}
\end{equation*}
$$

### 6.12 Boltzmann Equations

$$
\begin{equation*}
\frac{d f}{d t}=\frac{\partial f}{\partial t}+\frac{d x_{i}}{d t} \frac{\partial f}{\partial x_{i}}+\frac{d p}{d t} \frac{\partial f}{\partial p}+\frac{d \hat{p}_{i}}{d t} \frac{\partial f}{\partial \hat{p}_{i}}=\left(\frac{\partial f}{\partial t}\right)_{C} \tag{6.120}
\end{equation*}
$$

In equilibrium, the distribution $f(\mathbf{x}, \mathbf{p}, t)=f_{0}(p, t)$ is either the BE or FD distribution, and the collision term is zero (collisions/reactions in one direction cancelled by terms in opposite direction) such that the collisionless Boltzmann is satisfied and

$$
\begin{align*}
& \frac{d f_{0}}{d t}=\frac{\partial f_{0}}{\partial t}+\frac{d x^{i}}{d t} \underbrace{\frac{\partial f_{0}}{\partial x^{i}}}_{0}+\frac{d p}{d t} \frac{\partial f_{0}}{\partial p}+\frac{d \hat{p}^{i}}{d t} \underbrace{\frac{\partial f}{\partial \hat{p}^{i}}}_{0}=0  \tag{6.121}\\
& \rightarrow \frac{\partial f_{0}}{\partial t}+\frac{d p}{d t} \frac{\partial f_{0}}{\partial p}=0 \tag{6.122}
\end{align*}
$$

For photons

$$
\begin{equation*}
P^{2}=g_{\mu \nu} P^{\mu} P^{\nu}=0 \rightarrow P^{0}=p \tag{6.123}
\end{equation*}
$$

and the Geodesics equation gives

$$
\begin{equation*}
\frac{d p}{d t}=-H p \tag{6.124}
\end{equation*}
$$

For matter

$$
\begin{equation*}
P^{2}=g_{\mu \nu} P^{\mu} P^{\nu}=-m^{2} \rightarrow E^{2}=p^{2}+m^{2} \tag{6.125}
\end{equation*}
$$

and the Boltzmann equation leads to

$$
\begin{equation*}
\rho \propto \frac{1}{a^{3}} \tag{6.126}
\end{equation*}
$$

### 6.13 Thermal History

The Boltzmann equation may be written as

$$
\begin{equation*}
\frac{\partial f}{\partial t}-H p \frac{\partial f}{\partial p}=\frac{1}{E}\left(\frac{\partial f}{\partial t}\right)_{C} \tag{6.127}
\end{equation*}
$$

or, similarly, integrating over momentum

$$
\begin{equation*}
a^{-3} \frac{d\left(n a^{3}\right)}{d t}=g \int \frac{d^{3} p}{(2 \pi)^{3}} \frac{1}{E}\left(\frac{\partial f}{\partial t}\right)_{C} \tag{6.128}
\end{equation*}
$$

For a general process

$$
\begin{equation*}
1+2 \leftrightarrow 3+4 \tag{6.129}
\end{equation*}
$$

we may evaluate the collision term and obtain for particle 1

$$
\begin{equation*}
a^{-3} \frac{d\left(n_{1} a^{3}\right)}{d t}=n_{1}^{(0)} n_{2}^{(0)}\langle\sigma v\rangle\left[\frac{n_{3} n_{4}}{n_{3}^{(0)} n_{4}^{(0)}}-\frac{n_{1} n_{2}}{n_{1}^{(0)} n_{2}^{(0)}}\right] \tag{6.130}
\end{equation*}
$$

In chemical equilibrium the collision term is zero and we have the Saha equation

$$
\begin{equation*}
\frac{n_{3} n_{4}}{n_{3}^{(0)} n_{4}^{(0)}}=\frac{n_{1} n_{2}}{n_{1}^{(0)} n_{2}^{(0)}} \tag{6.131}
\end{equation*}
$$

More generally, we must solve the differential equation while only kinetic equilibrium holds.
We used this equation to study a number of processes in the early universe, namely

* Neutrino decoupling
* Freeze out of neutrons,
* Big Bang nucleosynthesis: formation of light element nuclei.
* Recombination of electrons and protons allowing the decoupling of electrons and photons
* Production of relic dark matter particles.


### 6.14 Linear Perturbations in the Universe

Gravitational dynamics $\rightarrow$ space-time perturbations in the metric and in the energy-momentum tensor components:

$$
\begin{align*}
\delta g_{\mu \nu}(\mathbf{x}, t) & : \Psi(\mathbf{x}, t), \Phi(\mathbf{x}, t)  \tag{6.132}\\
\delta T_{\mu \nu}(\mathbf{x}, t) & : \delta \rho(\mathbf{x}, t), v_{i}(\mathbf{x}, t), \delta P(\mathbf{x}, t), \Pi_{i j}(\mathbf{x}, t) \tag{6.133}
\end{align*}
$$

Fourier transform

$$
\begin{equation*}
\delta(\mathbf{k}, t)=\int d^{3} x e^{-i \mathbf{k} \cdot \mathbf{x}} \delta(\mathbf{x}, t) \tag{6.134}
\end{equation*}
$$

and inverse

$$
\begin{equation*}
\delta(\mathbf{x}, t)=\int \frac{d^{3} k}{(2 \pi)^{3}} e^{i \mathbf{k} \cdot \mathbf{x}} \delta(\mathbf{k}, t) \tag{6.135}
\end{equation*}
$$

lead to

$$
\begin{align*}
\delta(\mathbf{x}) & \rightarrow \delta(\mathbf{k})  \tag{6.136}\\
\frac{\partial}{\partial x_{i}} \delta(\mathbf{x}) & \rightarrow i k_{i} \delta(\mathbf{k})  \tag{6.137}\\
\nabla^{2} \delta(\mathbf{x}) & \rightarrow-k^{2} \delta(\mathbf{k})  \tag{6.138}\\
\int d^{3} x^{\prime} \delta\left(x^{\prime}\right) W\left(x-x^{\prime}\right) & \rightarrow \delta(\mathbf{k}) W(\mathbf{k}) \tag{6.139}
\end{align*}
$$

Metric perturbations (Conformal Newtonian Gauge):

$$
\begin{equation*}
d s^{2}=g_{\mu \nu} d x^{\mu} d x^{\nu}=-(1+2 \Psi) d t^{2}+a^{2}(1+2 \Phi) d x^{2} \tag{6.140}
\end{equation*}
$$

$\Psi(x, t)$ : Newtonian potential (time-time metric perturbation) $\Phi(x, t)$ curvature potential (space-space metric perturbation).

This metric leads, in Fourier space to the connection symbols:

$$
\begin{equation*}
\Gamma_{00}^{0}=\dot{\Psi}, \quad \Gamma_{0 i}^{0}=\Gamma_{i 0}^{0}=i k_{i} \Psi, \quad \Gamma_{i j}^{0}=\delta_{i j} a^{2}[H+2 H(\Phi-\Psi)+\dot{\Phi}] \tag{6.141}
\end{equation*}
$$

$$
\begin{equation*}
\Gamma_{00}^{i}=\frac{i k_{i}}{a^{2}} \Psi, \quad \Gamma_{0 j}^{i}=\Gamma_{j 0}^{i}=\delta_{i j}(H+\dot{\Phi}), \quad \Gamma_{j k}^{i}=i \Phi\left(\delta_{k i} k_{j}-\delta_{j k} k_{i}+\delta_{i j} k_{k}\right) \tag{6.142}
\end{equation*}
$$

Ricci tensor:

$$
\begin{gather*}
R_{00}=-3 \frac{\ddot{a}}{a}-\frac{k^{2}}{a^{2}} \Psi+3 H(\dot{\Psi}-2 \dot{\Phi})-3 \ddot{\Phi}  \tag{6.143}\\
R_{0 i}=-2 i k_{i}(\dot{\Phi}-H \Psi)  \tag{6.144}\\
R_{i j}=\delta_{i j}\left[\left(a \ddot{a}+2 a^{2} H^{2}\right)[1+2(\Phi-\Psi)]+a^{2} H(6 \dot{\Phi}-\dot{\Psi})+a^{2} \ddot{\Phi}+k^{2} \Phi\right]+k_{i} k_{j}(\Phi+\Psi) \tag{6.145}
\end{gather*}
$$

Ricci scalar:

$$
\begin{equation*}
R=6\left(\frac{\ddot{a}}{a}+H^{2}\right)+\frac{2 k^{2}}{a^{2}}(\Psi+2 \Phi)-6 H(\dot{\Psi}-4 \dot{\Phi})+6 \ddot{\Phi}-12 \Psi\left(\frac{\ddot{a}}{a}+H^{2}\right) \tag{6.146}
\end{equation*}
$$

### 6.15 Perturbed Boltzmann Equations

FRW metric with perturbations in the Newtonian gauge $\rightarrow$ Bolzmann equation:

$$
\begin{equation*}
\frac{d f}{d t}=\frac{\partial f}{\partial t}+\frac{\hat{p}^{i}}{a} \frac{p}{E} \frac{\partial f}{\partial x^{i}}-\frac{\partial f}{\partial E}\left[\frac{p^{2}}{E} \dot{\Phi}+\frac{\partial \Psi}{\partial x^{i}} \frac{p \hat{p}^{i}}{a}+\frac{p^{2}}{E} H\right]=\left(\frac{\partial f}{\partial t}\right)_{C} . \tag{6.146}
\end{equation*}
$$

### 6.15.1 Photons

For photons, $E=p$ and

$$
\begin{equation*}
\frac{d f}{d t}=\frac{\partial f}{\partial t}+\frac{\hat{p}^{i}}{a} \frac{\partial f}{\partial x^{i}}-p \frac{\partial f}{\partial p}\left[H+\dot{\Phi}+\frac{\partial \Psi}{\partial x^{i}} \frac{\hat{p}^{i}}{a}\right] \tag{6.147}
\end{equation*}
$$

Perturbation in the distribution function around equilibrium Planck distribution $f^{0}(p, t)$ :

$$
\begin{equation*}
f(\mathbf{x}, \mathbf{p}, t)=f^{0}(p, t)+\delta f(\mathbf{x}, \mathbf{p}, t) \tag{6.148}
\end{equation*}
$$

or similarly in terms of perturbations in the temperature field

$$
\begin{equation*}
T(\mathbf{x}, \hat{p}, t)=T(t)+\delta T(\mathbf{x}, \hat{p}, t)=T(t)[1+\Theta(\mathbf{x}, \hat{p}, t)] \tag{6.149}
\end{equation*}
$$

where $\Theta(\mathbf{x}, \hat{p}, t)=\delta T(\mathbf{x}, \hat{p}, t) / T(t)$, so that

$$
\begin{align*}
f(\mathbf{x}, \mathbf{p}, t) & =\left\{\exp \left[\frac{p}{T(\mathbf{x}, \hat{p}, t)}\right]-1\right\}^{-1} \\
& =f^{0}(p, t)-p \frac{\partial f^{0}}{\partial p} \Theta \tag{6.149}
\end{align*}
$$

or

$$
\begin{equation*}
\delta f(\mathbf{x}, \mathbf{p}, t)=-p \frac{\partial f^{0}}{\partial p} \Theta \tag{6.150}
\end{equation*}
$$

Keeping only first-order terms, we have

$$
\begin{equation*}
\left.\frac{d f}{d t}\right|_{1 \text { st order }}=-p \frac{\partial f^{0}}{\partial p}\left[\dot{\Theta}+\frac{\hat{p}_{i}}{a} \frac{\partial \Theta}{\partial x^{i}}+\dot{\Phi}+\frac{\hat{p}_{i}}{a} \frac{\partial \Psi}{\partial x^{i}}\right] \tag{6.151}
\end{equation*}
$$

Compton scattering of photon off electrons is the main interaction:

$$
\begin{equation*}
e^{-}(\mathbf{q})+\gamma(\mathbf{p}) \leftrightarrow e^{-}\left(\mathbf{q}^{\prime}\right)+\gamma\left(\mathbf{p}^{\prime}\right) \tag{6.152}
\end{equation*}
$$

with amplitude

$$
\begin{equation*}
|\mathcal{M}|^{2} \approx 8 \pi \sigma_{T} m_{e}^{2} \tag{6.153}
\end{equation*}
$$

and the collision term is given by

$$
\begin{equation*}
\left(\frac{\partial f(\mathbf{p})}{\partial t}\right)_{C}=-p \frac{\partial f^{0}}{\partial p} n_{e} \sigma_{T}\left[\Theta_{0}-\Theta+\hat{p} \cdot \mathbf{v}_{b}\right] \tag{6.154}
\end{equation*}
$$

where

$$
\begin{align*}
n_{e} & =\int \frac{d^{3} q}{(2 \pi)^{3}} f_{e}(\mathbf{q})  \tag{6.155}\\
n_{e} \mathbf{v}_{b} & =\int \frac{d^{3} q}{(2 \pi)^{3}} f_{e}(\mathbf{q}) \frac{\mathbf{q}}{m_{e}}  \tag{6.156}\\
\Theta_{l}=\frac{1}{(-i)^{l}} \int_{-1}^{1} \frac{d \mu}{2} P_{l}(\mu) \Theta & \tag{6.157}
\end{align*}
$$

The full equation becomes

$$
\begin{equation*}
\dot{\Theta}+\frac{\hat{p}_{i}}{a} \frac{\partial \Theta}{\partial x^{i}}+\dot{\Phi}+\frac{\hat{p}_{i}}{a} \frac{\partial \Psi}{\partial x^{i}}=n_{e} \sigma_{T}\left[\Theta_{0}-\Theta+\hat{p} \cdot \mathbf{v}_{b}\right] \tag{6.158}
\end{equation*}
$$

Then,

* Change $t \rightarrow \eta$,
* Change to Fourier space,
* use $\mu=\cos (\theta)=\frac{\mathbf{k} \cdot \hat{p}}{k}=\frac{k_{i} \hat{p}_{i}}{k} \quad \rightarrow \quad \hat{p}_{i} k_{i}=\mu k$
* Define optical depth: $\tau^{\prime} \equiv \frac{d \tau}{d \eta}=-n_{e} \sigma_{T} a$
and finally

$$
\begin{equation*}
\Theta^{\prime}+i k \mu \Theta+\Phi^{\prime}+i k \mu \Psi^{\prime}=-\tau^{\prime}\left[\Theta_{0}-\Theta+\mu v_{b}\right] \tag{6.159}
\end{equation*}
$$

### 6.15.2 Dark matter

For cold dark matter it is easier to simply use energy-momentum conservation. But following the Boltzmann equations we also obtain

$$
\begin{align*}
\delta_{c}^{\prime}+i k_{i} v_{c}^{i}+3 \Phi^{\prime} & =0  \tag{6.160}\\
\left(v_{c}^{i}\right)^{\prime}+\left(\frac{a^{\prime}}{a}\right) v_{c}^{i}+i k_{i} \Psi & =0 \tag{6.161}
\end{align*}
$$

or in terms of $\theta_{c}(\mathbf{x}, t)=\nabla \cdot \mathbf{v}_{c}(\mathbf{x}, t)=i k v_{c}$

$$
\begin{align*}
\delta_{c}^{\prime}+\theta_{c}+3 \Phi^{\prime} & =0  \tag{6.162}\\
\theta_{c}^{\prime}+\left(\frac{a^{\prime}}{a}\right) \theta_{c}-k^{2} \Psi & =0 \tag{6.163}
\end{align*}
$$

The 2 equations may be combined to give

$$
\begin{equation*}
\delta_{c}^{\prime \prime}+\left(\frac{a^{\prime}}{a}\right) \delta^{\prime}+3\left[\Phi^{\prime \prime}+\left(\frac{a^{\prime}}{a}\right) \Phi^{\prime}\right]=-k^{2} \Psi \tag{6.164}
\end{equation*}
$$

### 6.15.3 Baryons

For baryons, need to consider the interactions

$$
\begin{align*}
e(q)+p(Q) & \rightarrow e\left(q^{\prime}\right)+p\left(Q^{\prime}\right)  \tag{6.165}\\
e(q)+\gamma(p) & \rightarrow e\left(q^{\prime}\right)+\gamma\left(p^{\prime}\right) \tag{6.166}
\end{align*}
$$

to obtain

$$
\begin{align*}
\delta_{b}^{\prime}+i k v_{b}+3 \Phi^{\prime} & =0  \tag{6.167}\\
v_{b}^{\prime}+\left(\frac{a^{\prime}}{a}\right) v_{c}+i k \Psi & =\tau^{\prime} \frac{4 \rho_{\gamma}}{3 \rho_{b}}\left[3 i \Theta_{1}+v_{b}\right] \tag{6.168}
\end{align*}
$$

### 6.15.4 Neutrinos

Massless neutrinos: similar to photons, but different temperature $T^{\nu}$ and no collision term. Define $\mathcal{N}=\delta T_{\nu} / T_{\nu}$, such that

$$
\begin{equation*}
\mathcal{N}^{\prime}+i k \mu \mathcal{N}+\Phi^{\prime}+i k \mu \Psi^{\prime}=0 \tag{6.169}
\end{equation*}
$$

Massive neutrinos: evolution starts as massless neutrinos while they are relativistic. Transition to transition to that of dark matter once they become non-relativistic.

See Ma \& Bertschinger 1995 for a careful description of:

1) linear perturbations for all components above and Einstein Equations in both Conformal Newtonian Gauge and Synchronous Gauge.
2) a technique to solve the equations for photons and neutrinos in terms of a multipole expansion in Legendre polynomials.

### 6.16 Perturbed Einstein Equations

FRW metric with Newtonian perturbations + Einstein Equations:

$$
\begin{align*}
-k^{2} \Phi-3 H(\dot{\Phi}-H \Psi) & =-4 \pi G \delta \rho  \tag{6.170}\\
-k^{2}(\dot{\Phi}-H \Psi) & =4 \pi G(\rho+P)\left(i k^{i} v_{i}\right)  \tag{6.171}\\
\ddot{\Phi}-H(\dot{\Psi}-3 \dot{\Phi})-\left(2 \frac{\ddot{a}}{a}+H^{2}\right) \Psi+\frac{k^{2}}{3 a^{2}}(\Psi+\Phi) & =-4 \pi G \delta P  \tag{6.172}\\
-k^{2}(\Psi+\Phi) & =32 \pi G \rho \Theta_{2} \tag{6.173}
\end{align*}
$$

Consider first and third equation in a non-expanding universe and static fields:

$$
\begin{align*}
-k^{2} \Phi & =-4 \pi G \delta \rho  \tag{6.174}\\
\frac{k^{2}}{3 a^{2}}(\Psi+\Phi) & =-4 \pi G \delta P \tag{6.175}
\end{align*}
$$

so adding the first and 3 times the second we have

$$
\begin{equation*}
\nabla^{2} \Psi=4 \pi G(\delta \rho+3 \delta P) \tag{6.177}
\end{equation*}
$$

In General Relativity, pressure perturbation is also a source to the gravitational potential $\Psi$.
Finally, we saw initial conditions from the Boltzmann/Einstein equations themselves.
We ended the semester looking at the Inflation model as a solution to a number of problems in the Big Bang scenario (horizon problem, flatness problem, unwanted relics), as well as a means of producing and magnifying quantum perturbations in the early Universe, and its implementation with a slowly-rolling scalar field.

