

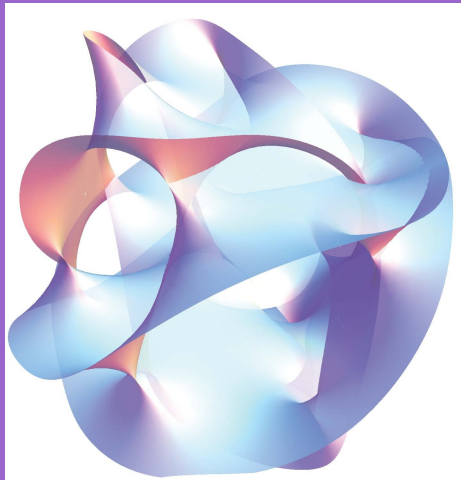
New trends in Quatum Gravity II,
Sao Paulo, September 2009

STRING COMPACTIFICATIONS, FLUXES AND SUPERGRAVITY

G. Aldazabal
CAB-IB, Bariloche

Based on G.A, Camara, Font, Ibanez (JHEP 0605:070,2006.)
G.A , Camara, Rosabal (Nucl.Phys.B814:21-52,2009.)
G.A, M. Graña, M. Petrini (in progress)

LINK BETWEEN STRING THEORY AND D=4 WORLD?



D=4 (broken) **SUGRA** including the **STANDARD MODEL**

STRING PHENOMENOLOGY

- Link between String Theory and Particle Physics Or: **How is the Standard Model embedded in String Theory ?**
- The String Model-Building Program aims at a general study of possible compactifications/constructions giving rise to a low-energy theory resembling as much as possible the SM (or the MSSM) in the framework of String theory.
- On the journey we may identify general patterns (e.g. symmetries, extra particle content etc.) that might be present in large classes of realistic vacua.
Discard certain non-stringy model building approaches.
- Find inspiring ideas to solve old problems like SCP violation, unification, fermion masses and mixings, dark matter/energy,...

STRING MODEL BUILDING

- < 1995 Top-Bottom Scenario

$D = 10$ **HETEROTIC STRING**



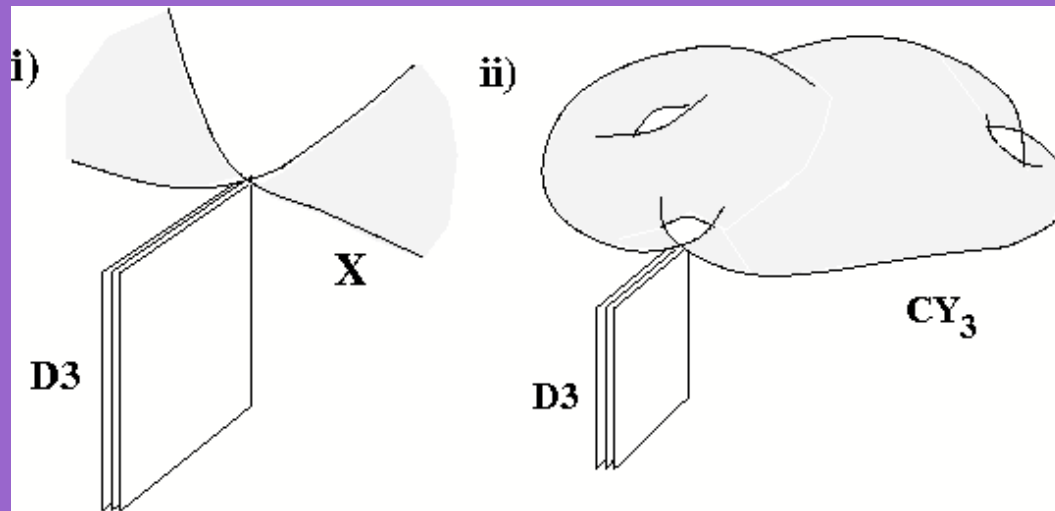
$D = 4$

Intensive work: CY, Orbifolds, Gepner's Models, Free Fermions

- ≥ 1995 Bottom-up Scenario

Gauge interactions **localized** on extended D_p -branes

BRANES AT SINGULARITIES



At singularity

$$C^3 / \mathbb{Z}_M$$

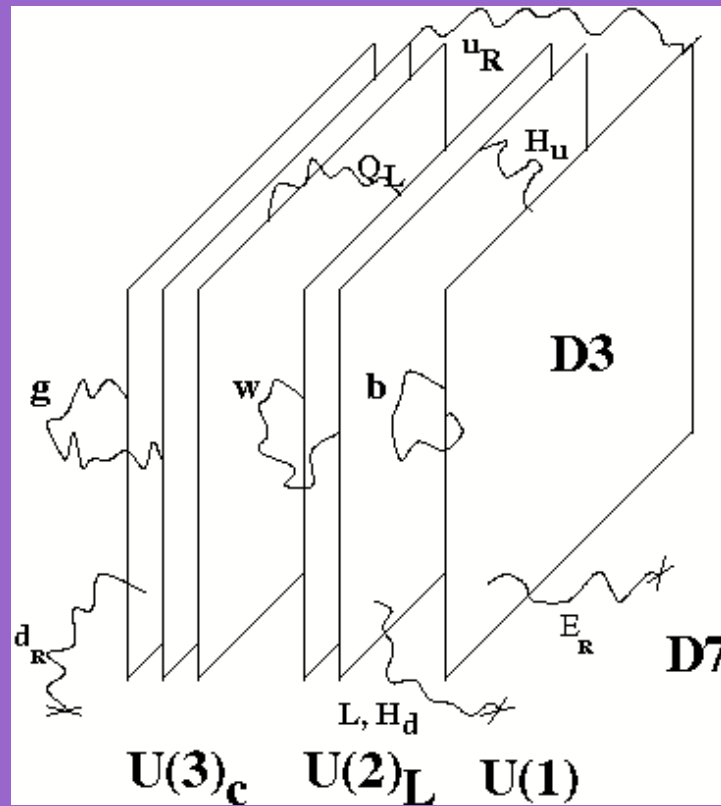
$$g_M = (\theta_v, \gamma)$$

$$|\Psi \rangle \Lambda = |\theta\Psi \rangle \gamma^{-1} \Lambda \gamma$$

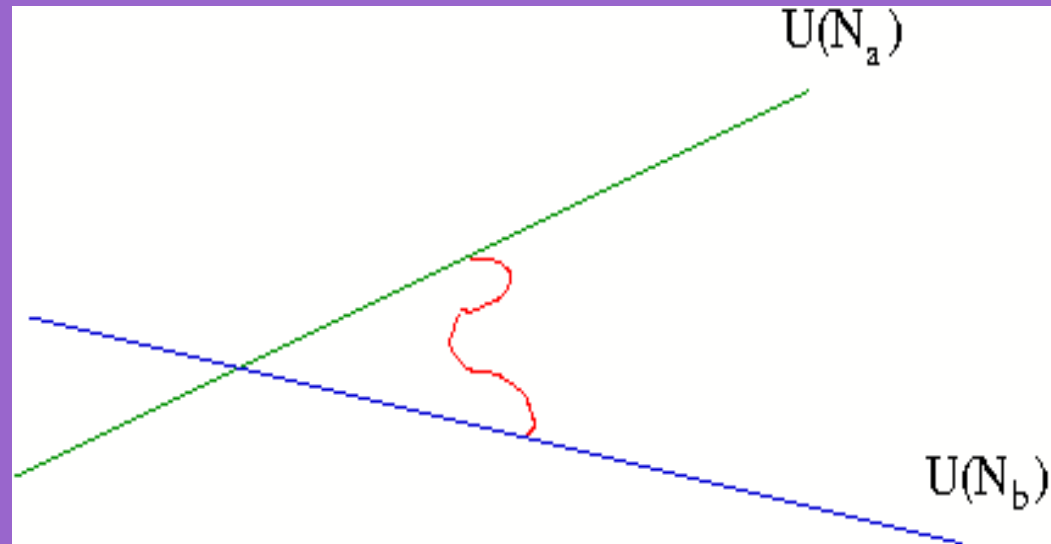
$$|\Psi_{-\frac{1}{2}}^\mu \rangle \Lambda^0 = |\Psi_{-\frac{1}{2}}^\mu \rangle \gamma^{-1} \Lambda^0 \gamma$$

$$|s_{st}, s_1, s_2, s_3 \rangle \Lambda^0 = e^{2i\pi v \cdot s} |s_{st}, s_1, s_2, s_3, \rangle \gamma \Lambda^0 \gamma^{-1}$$

STANDARD LIKE MODEL



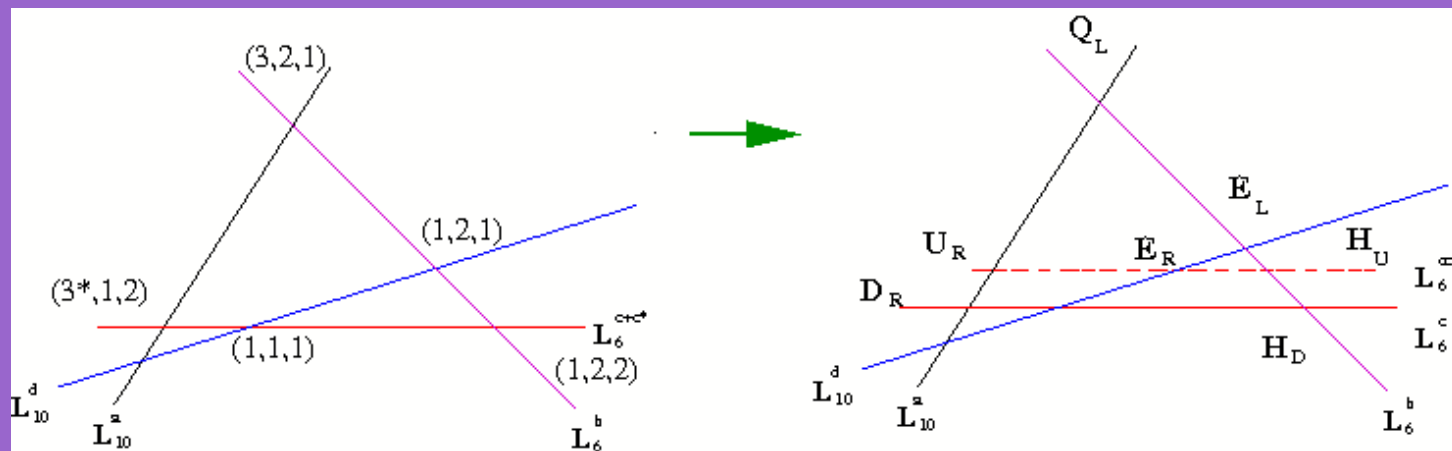
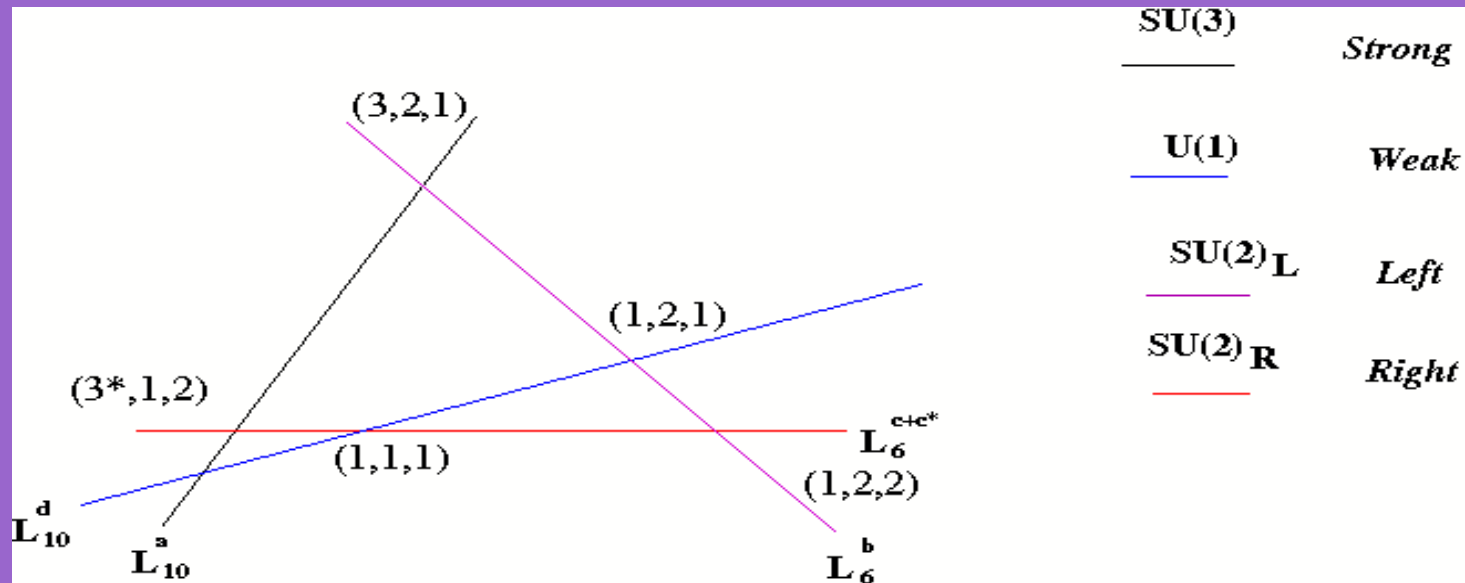
INTERSECTING BRANES



$$\prod_{a=1}^K U(N_a)$$

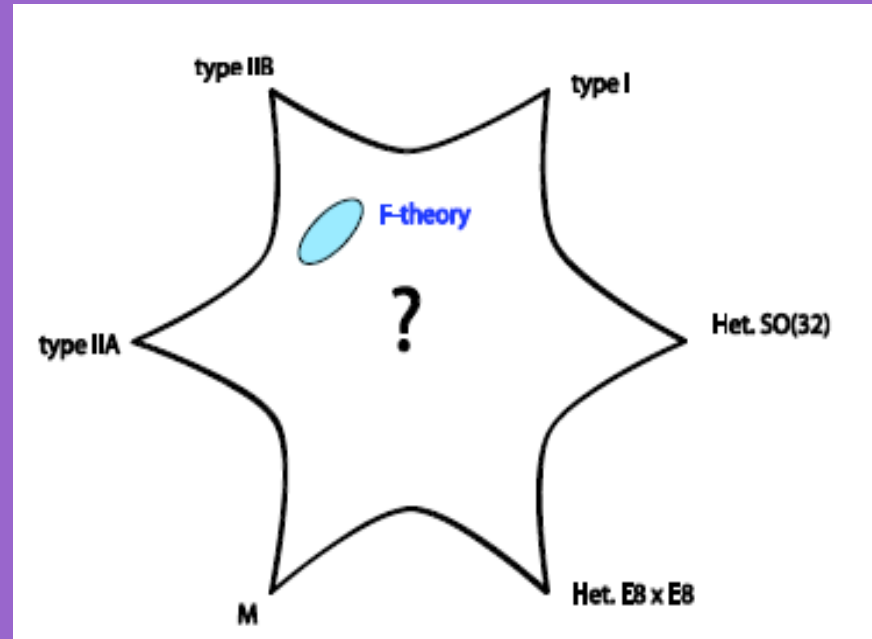
$$\sum_{a < b} I_{ab} (N_a, \bar{N}_b)$$

$$I_{ab} = [\Pi_a] \cdot [\Pi_b] = \prod_i (n_a^i m_b^i - m_a^i n_b^i)$$



STANDARD LIKE MODEL

F-Theory compactifications:



- Local GUT models [Vafa et al.; Donagi et al.; ... '08]
- Exceptional groups and spinorial representations
- Etc.
- **Interpretation of many of the fluxes**

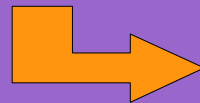
PROBLEMS:

In spite of recent progress:

- Fully realistic model must still be found
- How do we select among all (LANDSCAPE) possible models?

FLUX COMPACTIFICATIONS

may help.



MODULI STABILIZATION
SUSY BREAKING
NEW POSSIBILITIES

MODULI

Type IIB

$$\left\{ \begin{array}{l} \text{NS} - \text{NS} \quad G_{MN}, B_2, \Phi \\ \text{R} - \text{R} \quad C_0, C_2, C_4 \end{array} \right\}$$

Field strength:

$$F^{(p)} = dC^{(p-1)}$$

Type IIA

$$\left\{ \begin{array}{l} \text{NS} - \text{NS} \quad G_{MN}, B_2, \Phi \\ \text{R} - \text{R} \quad C_1, C_3 \end{array} \right\}$$

Compactification:

$$M \rightarrow \{\mu = 0, 1, 2, 3\} + \{m = 4, \dots, 9\}$$

Scalars

$$\bar{\Phi}, G_{mn}, C_{mn}$$

Moduli: VEV's are not fixed.

coupling(dilaton), Kähler structure(size of 2-cycles), Complex structure(size of 3-cycles)

FLUXES

$$\frac{1}{(2\pi\sqrt{\alpha'})^{p-1}} \int_{\Sigma_p} \hat{F}_p = m_p \in \mathbb{Z}$$

$$d * \hat{F} = 0 \quad \text{E.M (no source)}$$

$$d\hat{F} = 0 \quad \text{Bianchi identity}$$

+ supersymmetry conditions (i.e. $*_6 \mathbf{F}^{(3)} = i\mathbf{F}^{(3)}$)

For fixed set of fluxes m_p geometrical moduli cannot be changed arbitrarily
MODULI are stabilized

→ **Superpotential**

Gukov, Vafa, Witten (2000)

....

Also, why exciting **only** the metric? Other allowed configurations must be explored

Type IIB orientifold (O9): $\otimes_{j=1}^3 T_j^2 / \Omega_P (-1)^{F_L}$

Supersymmetric compactification: Ω, J

In terms of complexified forms

$$\begin{aligned} \Omega &= (dx^1 + iU_1 dx^4) \wedge (dx^2 + iU_2 dx^5) \wedge (dx^3 + iU_3 dx^6) \\ &= \alpha_0 + \frac{1}{2} \sum_{r \neq s \neq t} \alpha_r U_s U_t - i\beta_0 U_1 U_2 U_3 + i \sum_r U_r \beta_r \end{aligned}$$

$$\begin{aligned} J_c &= -iT_i \omega_i \\ \omega_i &= -dx^i \wedge dy^i \end{aligned}$$

CLOSED MODULI

	dim.	form	dual form	moduli
Kähler	$h_{(1,1)}^-$	ω_A	$\tilde{\omega}_A$	$T_A = -i \int J_c \wedge \tilde{\omega}_A$
c.s + dilaton	$1 + h_{(1,2)}$	α_L	β_L	$U_L = i \int \Omega_c \wedge \beta_L$

Type IIB fluxes (09)

Turn on RR and NSNS fluxes

$$\bar{F}_3 = -m\beta_0 - e_0\alpha_0 + \sum_{i=1}^3 (e_i\beta_i - q_i\alpha_i) .$$

Geometric fluxes (Scherk-Schwarz)

$$ds^2 = \sum_k (dx^k + \omega_{pq}^k x^q dx^p)^2 \quad (\omega_{pq}^k \equiv h_i, \bar{h}_i, b_{ij}, \bar{b}_{ij})$$

Correspond to $d\eta^p = -\frac{1}{2}\omega_{mn}^p \eta^m \wedge \eta^n .$

Bianchi identity :

$$d^2 = 0 \quad \longrightarrow \quad \omega_{[ab}^p \omega_{c]p}^l = 0$$

constraints

Type IIB O9 superpotential:

Gukov, Vafa, Witten (2000)

$$\begin{aligned} W_{O9} &= \int_{T^6} \Omega \wedge (\bar{\mathbf{F}}_3 + \omega J_c) \\ &= \left[-ie_0 - \sum_{i=1}^3 h_i T_i \right] U_1 U_2 U_3 \\ &+ \frac{1}{2} \sum_{r \neq s \neq t} \left[\left(+ e_r + i \sum_{j=1}^3 b_{rj} T_j \right) U_s U_t \right. \\ &+ \left. \sum_{i=1}^3 i q_i + \sum_{j=1}^3 \bar{b}_{ij} T_j \right] U_i + \left[m - i \sum_{j=1}^3 \bar{h}_j T_j \right] \end{aligned}$$



Scalar potential

Some Moduli will be stabilized

O9

$$\begin{aligned}
W_{O9} &= \int_{T^6} \Omega \wedge (\bar{\mathbf{F}}_3 + \omega J_c) \\
&= \left(-ie_0 - \sum_{i=1}^3 h_i T_i \right) U_1 U_2 U_3 + \frac{1}{2} \sum_{r \neq s \neq t} \left(e_r + i \sum_{j=1}^3 b_{rj} T_j \right) U_s U_t \\
&+ \sum_{i=1}^3 \left(iq_i + \sum_{j=1}^3 \bar{b}_{ij} T_j \right) U_i + m - i \sum_{j=1}^3 \bar{h}_j T_j
\end{aligned}$$

O3

$$\begin{aligned}
W_{O3} &= \int_{T^6} (\bar{\mathcal{F}}_3 - iS\bar{\mathcal{H}}_3) \wedge \Omega \\
&= e_0 + i \sum_{i=1}^3 e_i U_i - q_1 U_2 U_3 - q_2 U_1 U_3 - q_3 U_1 U_2 + im U_1 U_2 U_3 \\
&+ S \left[ih_0 - \sum_{i=1}^3 a_i U_i + i\bar{a}_1 U_2 U_3 + i\bar{a}_2 U_1 U_3 + i\bar{a}_3 U_1 U_2 - \bar{h}_0 U_1 U_2 U_3 \right].
\end{aligned}$$

Fluxes missing on both sides

O9 Is not T-dual of **O3**

$$U_i \leftrightarrow \frac{1}{U_i}; T_i \leftrightarrow T_i; S \leftrightarrow S$$

$$F_{MN_1 \dots N_p} \xleftrightarrow{T_M} F_{N_1 \dots N_p}$$

Buscher rule

**T-duality invariance requires the
introduction of new fluxes**

$$-\overline{H}_{MNP} \xleftrightarrow{T_M} \omega_{NP}^M \xleftrightarrow{T_N} Q_P^{MN} \xleftrightarrow{T_P} -R^{MNP}$$

IIB/O3	IIA/O6	IIB/O9	flux
$\overline{\mathcal{H}}_{123}$	R^{123}	\mathbf{R}^{123}	\overline{h}_0
$\overline{\mathcal{H}}_{423}$	$-Q_4^{23}$	\mathbf{R}^{423}	$-\overline{a}_1$
$\overline{\mathcal{H}}_{153}$	$-Q_5^{31}$	\mathbf{R}^{153}	$-\overline{a}_2$
$\overline{\mathcal{H}}_{126}$	$-Q_6^{12}$	\mathbf{R}^{126}	$-\overline{a}_3$
$\overline{\mathcal{H}}_{156}$	$-\omega_{56}^1$	\mathbf{R}^{156}	$-a_1$
$\overline{\mathcal{H}}_{426}$	$-\omega_{64}^2$	\mathbf{R}^{426}	$-a_2$
$\overline{\mathcal{H}}_{453}$	$-\omega_{45}^3$	\mathbf{R}^{453}	$-a_3$
$\overline{\mathcal{H}}_{456}$	\overline{H}_{456}	\mathbf{R}^{456}	h_0

Table 1: NS IIB/O3 fluxes and their T-duals.

IIB/O3	IIA/O6	IIB/O9	flux	IIB/O3	IIA/O6	IIB/O9	flux
$F_{123} = -\tilde{F}^{456}$	F_0	$-\mathbf{F}_{456}$	$-m$	$H_{123} = -\tilde{H}^{456}$	R^{123}	\mathbf{R}^{123}	\bar{h}_0
$F_{423} = \tilde{F}^{156}$	F_{14}	\mathbf{F}_{156}	$-q_1$	$H_{423} = \tilde{H}^{156}$	$-Q_4^{23}$	\mathbf{R}^{423}	$-\bar{a}_1$
$F_{153} = \tilde{F}^{426}$	F_{25}	\mathbf{F}_{426}	$-q_2$	$H_{153} = \tilde{H}^{426}$	$-Q_5^{31}$	\mathbf{R}^{153}	$-\bar{a}_2$
$F_{126} = \tilde{F}^{453}$	F_{36}	\mathbf{F}_{453}	$-q_3$	$H_{126} = \tilde{H}^{453}$	$-Q_6^{12}$	\mathbf{R}^{126}	$-\bar{a}_3$
$F_{156} = -\tilde{F}^{423}$	F_{2536}	$-\mathbf{F}_{423}$	e_1	$H_{156} = -\tilde{H}^{423}$	$-\omega_{56}^1$	\mathbf{R}^{156}	$-a_1$
$F_{426} = -\tilde{F}^{153}$	F_{1436}	$-\mathbf{F}_{153}$	e_2	$H_{426} = -\tilde{H}^{153}$	$-\omega_{64}^2$	\mathbf{R}^{426}	$-a_2$
$F_{453} = -\tilde{F}^{126}$	F_{1425}	$-\mathbf{F}_{126}$	e_3	$H_{453} = -\tilde{H}^{126}$	$-\omega_{45}^3$	\mathbf{R}^{453}	$-a_3$
$F_{456} = \tilde{F}^{123}$	F_{142536}	\mathbf{F}_{123}	$-e_0$	$H_{456} = \tilde{H}^{123}$	\bar{H}_{456}	\mathbf{R}^{456}	h_0

Table 1: Type IIB/O3 3-form RR (left) and NSNS (right) fluxes and their T-duals.

IIB/O3	IIA/O6	IIB/O9	flux
$\begin{pmatrix} Q_4^{23} & Q_5^{31} & Q_6^{12} \end{pmatrix}$	$-\begin{pmatrix} \bar{H}_{423} & \bar{H}_{153} & \bar{H}_{126} \end{pmatrix}$	$\begin{pmatrix} \omega_{23}^4 & \omega_{31}^5 & \omega_{12}^6 \end{pmatrix}$	$-\begin{pmatrix} h_1 & h_2 & h_3 \end{pmatrix}$
$\begin{pmatrix} -Q_1^{23} & Q_5^{34} & Q_6^{42} \\ Q_4^{53} & -Q_2^{31} & Q_6^{15} \\ Q_4^{26} & Q_5^{61} & -Q_3^{12} \end{pmatrix}$	$\begin{pmatrix} -\omega_{23}^1 & \omega_{53}^4 & \omega_{26}^4 \\ \omega_{34}^5 & -\omega_{31}^2 & \omega_{61}^5 \\ \omega_{42}^6 & \omega_{15}^6 & -\omega_{12}^3 \end{pmatrix}$	$\begin{pmatrix} -\omega_{23}^1 & \omega_{34}^5 & \omega_{42}^6 \\ \omega_{53}^4 & -\omega_{31}^2 & \omega_{15}^6 \\ \omega_{26}^4 & \omega_{61}^5 & -\omega_{12}^3 \end{pmatrix}$	$\begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \\ b_{31} & b_{32} & b_{33} \end{pmatrix}$
$\begin{pmatrix} Q_1^{56} & Q_2^{64} & Q_3^{45} \end{pmatrix}$	$-\begin{pmatrix} R^{156} & R^{426} & R^{453} \end{pmatrix}$	$\begin{pmatrix} \omega_{56}^1 & \omega_{64}^2 & \omega_{45}^3 \end{pmatrix}$	$-\begin{pmatrix} \bar{h}_1 & \bar{h}_2 & \bar{h}_3 \end{pmatrix}$
$\begin{pmatrix} -Q_4^{56} & Q_2^{61} & Q_3^{15} \\ Q_1^{26} & -Q_5^{64} & Q_3^{42} \\ Q_1^{53} & Q_2^{34} & -Q_6^{45} \end{pmatrix}$	$\begin{pmatrix} -Q_4^{56} & Q_1^{26} & Q_1^{53} \\ Q_2^{61} & -Q_5^{64} & Q_2^{34} \\ Q_3^{15} & Q_3^{42} & -Q_6^{45} \end{pmatrix}$	$\begin{pmatrix} -\omega_{56}^4 & \omega_{61}^2 & \omega_{15}^3 \\ \omega_{26}^1 & -\omega_{64}^5 & \omega_{42}^3 \\ \omega_{53}^1 & \omega_{34}^2 & -\omega_{45}^6 \end{pmatrix}$	$\begin{pmatrix} \bar{b}_{11} & \bar{b}_{12} & \bar{b}_{13} \\ \bar{b}_{21} & \bar{b}_{22} & \bar{b}_{23} \\ \bar{b}_{31} & \bar{b}_{32} & \bar{b}_{33} \end{pmatrix}$

Table 2: Type IIB/O3 NSNS Q-fluxes and their T-duals.

T-duality invariant superpotential

$$\mathcal{W} = \int_{T^6} [(\overline{\mathcal{F}}_3 - iS\overline{\mathcal{H}}_3) + \mathcal{Q}\mathcal{J}_c] \wedge \Omega .$$

S duality



T, S-duality invariant superpotential

$$\mathcal{W} = \int_{T^6} [(\overline{\mathcal{F}}_3 - iS\overline{\mathcal{H}}_3) + (Q - iP\mathcal{J}_c)] \wedge \Omega .$$



S duality

$$\begin{pmatrix} \overline{\mathcal{F}}_3 \\ \overline{\mathcal{H}}_3 \end{pmatrix} \rightarrow \begin{pmatrix} k & l \\ m & n \end{pmatrix} \begin{pmatrix} \overline{\mathcal{F}}_3 \\ \overline{\mathcal{H}}_3 \end{pmatrix} . \quad \begin{pmatrix} Q \\ P \end{pmatrix} \rightarrow \begin{pmatrix} k & l \\ m & n \end{pmatrix} \begin{pmatrix} Q \\ P \end{pmatrix} .$$

$$S \rightarrow \frac{kS - il}{imS + n}$$

$SL(2, \mathbb{Z})$

$$SL(2, \mathbb{Z})^7$$

Flux parameter	Weight	Flux parameter	Weight
\bar{h}'_0	$(-, -, -, -, -, -, -)$	e_0	$(+, +, +, +, +, +, +)$
h_0	$(-, +, +, +, +, +, +)$	m'	$(+, -, -, -, -, -, -)$
$-h_i$	$(+, \overbrace{-, +, +}^i, +, +, +)$	$-\bar{f}'_i$	$(-, \overbrace{+, -, -}^i, -, -, -)$
e_j	$(+, +, +, +, \overbrace{-, +, +}^j)$	\bar{a}'_j	$(-, -, -, -, \overbrace{+, -, -}^j)$
\bar{h}'_i	$(+, \overbrace{+, -, -}^i, -, -, -)$	f_i	$(-, \overbrace{-, +, +}^i, +, +, +)$
q'_j	$(+, -, -, -, \overbrace{+, -, -}^j)$	a_j	$(-, +, +, +, \overbrace{-, +, +}^j)$
\bar{g}'_{ji}	$(-, \overbrace{+, -, -}^i, \overbrace{+, -, -}^j)$	b_{ji}	$(+, \overbrace{-, +, +}^i, \overbrace{-, +, +}^j)$
a'_j	$(-, -, -, -, \overbrace{-, +, +}^j)$	q_j	$(+, +, +, +, \overbrace{+, -, -}^j)$
$-g_{ji}$	$(-, \overbrace{-, +, +}^i, \overbrace{-, +, +}^j)$	$-\bar{b}'_{ji}$	$(+, \overbrace{+, -, -}^i, \overbrace{+, -, -}^j)$
$-\bar{a}_j$	$(-, +, +, +, \overbrace{+, -, -}^j)$	$-e'_j$	$(+, -, -, -, \overbrace{-, +, +}^j)$
$-\bar{b}_{ji}$	$(+, \overbrace{-, +, +}^i, \overbrace{+, -, -}^j)$	$-g'_{ji}$	$(-, \overbrace{+, -, -}^i, \overbrace{-, +, +}^j)$
$-m$	$(+, +, +, +, -, -, -)$	$-h'_0$	$(-, -, -, -, +, +, +)$
b'_{ji}	$(+, \overbrace{+, -, -}^i, \overbrace{-, +, +}^j)$	\bar{g}_{ji}	$(-, \overbrace{-, +, +}^i, \overbrace{+, -, -}^j)$
f'_i	$(-, \overbrace{+, -, -}^i, +, +, +)$	\bar{h}_i	$(+, \overbrace{-, +, +}^i, -, -, -)$
$-e'_0$	$(+, -, -, -, +, +, +)$	$-\bar{h}_0$	$(-, +, +, +, -, -, -)$
$-\bar{f}_i$	$(-, \overbrace{-, +, +}^i, -, -, -)$	$-h'_i$	$(+, \overbrace{+, -, -}^i, +, +, +)$

Table 1: Spinorial embedding of the background fluxes. The weights in each column correspond to one of the two Weyl spinors on which the set of fluxes \mathbb{G} can be decomposed.

Moduli	Weight
S	$(1, 0, 0, 0, 0, 0, 0)$
T_i	$(0, \overbrace{1, 0, 0}^i, 0, 0, 0)$
U_i	$(0, 0, 0, 0, \overbrace{1, 0, 0}^i)$

Table 1: Embedding of the moduli in a $\mathfrak{7}$ of $SL(2, \mathbb{Z})^7$.

$$\begin{aligned}
W_{Flux} &= e_0 - i \sum_{i=1}^3 h_i T_i + \frac{1}{2} \sum_{l \neq m \neq n} h'_l T_m T_n + ie'_0 T_1 T_2 T_3 \\
&+ \left(ih_0 - \sum_{i=1}^3 f_i T_i - \frac{i}{2} \sum_{l \neq m \neq n} f'_l T_m T_n - h'_0 T_1 T_2 T_3 \right) S \\
&+ \sum_{i=1}^3 \left[\left(-a_i + i \sum_{j=1}^3 g_{ij} T_j - \frac{1}{2} \sum_{l \neq m \neq n} g'_{il} T_m T_n + ia'_i T_1 T_2 T_3 \right) S \right. \\
&+ \left. ie_i - \sum_{j=1}^3 b_{ij} T_j - \frac{i}{2} \sum_{l \neq m \neq n} b'_{il} T_m T_n - e'_i T_1 T_2 T_3 \right] U_i \\
&+ \frac{1}{2} \sum_{r \neq s \neq t} \left[\left(i\bar{a}_r + \sum_{j=1}^3 \bar{g}_{rj} T_j + \frac{i}{2} \sum_{l \neq m \neq n} \bar{g}'_{rl} T_m T_n - \bar{a}'_r T_1 T_2 T_3 \right) S \right. \\
&- \left. q_r + i \sum_{j=1}^3 \bar{b}_{rj} T_j - \frac{1}{2} \sum_{l \neq m \neq n} \bar{b}'_{rl} T_m T_n + iq'_r T_1 T_2 T_3 \right] U_s U_t \\
&+ \left[- \left(\bar{h}_0 + i \sum_{j=1}^3 \bar{f}_j T_j - \frac{1}{2} \sum_{l \neq m \neq n} \bar{f}'_l T_m T_n + i\bar{h}'_0 T_1 T_2 T_3 \right) S \right. \\
&+ \left. im + \sum_{j=1}^3 \bar{h}_j T_j + \frac{i}{2} \sum_{l \neq m \neq n} \bar{h}'_l T_m T_n - m' T_1 T_2 T_3 \right] U_1 U_2 U_3
\end{aligned}$$

Fluxes are constrained

Bianchi identities

Tadpole cancellation

Tadpoles:

$$\int -C_8 \wedge QF_3 + \tilde{C}_8 \wedge PH_3 + C'_8 \wedge (QH_3 + PF_3)$$

Source F-theory (p,q) seven branes

$$(QH_3 + PF_3)_k = 2 \sum_I p_k^I q_k^I$$

$$(QF_3)_k = \sum_I (p_k^I)^2,$$

$$(PH_3)_k = \sum_I (q_k^I)^2$$

- **What is the 10D interpretation of fluxes?**
- **How do fluxes manifest in 4D ?**
- **Restrictions on fluxes: BI, Tadpoles**

T-duality

Circle

$$\alpha' M^2 = \alpha' \left[\left(\frac{m}{R} \right)^2 + \left(\frac{Rn}{\alpha'} \right)^2 \right] + 2N - 4$$

$$R \rightarrow \tilde{R} = \frac{\alpha'}{R}$$

$$n \rightarrow m$$

Torus

$$T \propto A = R_x R_y$$

$$U \propto R_x / R_y$$



$$T \leftrightarrow U$$

T-duality example:

$$S = S_g + S_B + S_\Phi,$$

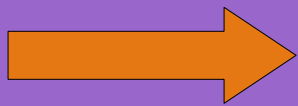
$$S_g = -\frac{1}{4\pi\alpha'} \int d^2\sigma \sqrt{-h} h^{\alpha\beta} g_{\mu\nu} \partial_\alpha X^\mu \partial_\beta X^\nu,$$

$$S_B = \frac{1}{4\pi\alpha'} \int d^2\sigma \varepsilon^{\alpha\beta} B_{\mu\nu} \partial_\alpha X^\mu \partial_\beta X^\nu,$$

$$S_\Phi = \frac{1}{4\pi} \int d^2\sigma \sqrt{-h} \Phi R^{(2)}.$$

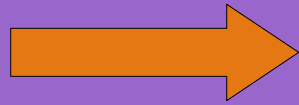
Lagrange multiplier $\tilde{X}^9 \longrightarrow \varepsilon^{\alpha\beta} \partial_\alpha V_\beta = 0 \longrightarrow V_\beta = \partial_\beta X^9$

$$4\pi\alpha' S = \int d^2\sigma \left[\sqrt{-h} h^{\alpha\beta} \left(-g_{99} V_\alpha V_\beta - 2g_{9\mu} V_\alpha \partial_\beta X^\mu - g_{\mu\nu} \partial_\alpha X^\mu \partial_\beta X^\nu \right) + \varepsilon^{\alpha\beta} \left(B_{9\mu} V_\alpha \partial_\beta X^\mu + B_{\mu\nu} \partial_\alpha X^\mu \partial_\beta X^\nu \right) + \tilde{X}^9 \varepsilon^{\alpha\beta} \partial_\alpha V_\beta + \alpha' \sqrt{-h} R^{(2)} \Phi(X) \right]. \quad (6.94)$$



S

Using equations of motion for V_α



$$\tilde{S} = S_{\tilde{g}} + S_{\tilde{B}} + S_{\tilde{\Phi}}$$

$$\begin{aligned} \tilde{g}_{99} &= \frac{1}{g_{99}}, & \tilde{g}_{9\mu} &= \frac{B_{9\mu}}{g_{99}}, & \tilde{g}_{\mu\nu} &= g_{\mu\nu} + \frac{B_{9\mu}B_{9\nu} - g_{9\mu}g_{9\nu}}{g_{99}}, \\ \tilde{B}_{9\mu} &= -\tilde{B}_{\mu 9} = \frac{g_{9\mu}}{g_{99}}, & \tilde{B}_{\mu\nu} &= B_{\mu\nu} + \frac{g_{9\mu}B_{9\nu} - B_{9\mu}g_{9\nu}}{g_{99}}, \\ e^{\tilde{\Phi}} &= \sqrt{g_{99}} e^{\Phi} \end{aligned}$$

$$F_{MN_1 \dots N_p} \xleftrightarrow{T_M} F_{N_1 \dots N_p}$$

“Buscher rules”

Example: T^3 with H_3

$$T^3 \longrightarrow (x, y, z) \sim (x + 1, y, z) \sim (x, y + 1, z) \sim (x, y, z + 1)$$

$$\int_{T^3} H_3 = N$$

$$B_{xy} = Nz$$

T-dualize along x **Buscher** \longrightarrow

$$ds^2 = (dx - Nz)^2 + dy + dz^2 = |dx + \tau dy|^2 + dz^2,$$

$$B = 0$$

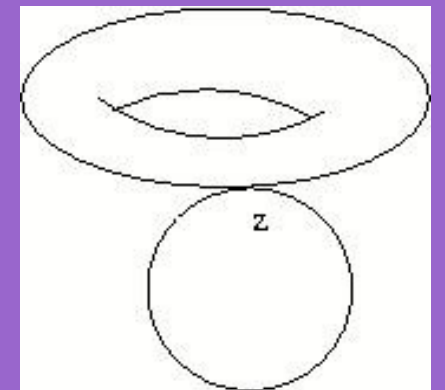
Twisted torus

$$H_{xyz} \xleftrightarrow{T_x} \omega_{yz}^x = N$$

$$\tau = Nz + i$$

$$(x, y, z) \sim (x + 1, y, z) \sim (x, y + 1, z) \sim (x + \omega_{yz}^x y, y, z + 1)$$

$$z \rightarrow z + 1 \longrightarrow \tau(z) \rightarrow \tau(z) + \omega_{yz}^x y$$



T- dualize along y

$$\omega_{zy}^x \xleftrightarrow{T_n} Q_z^{xy}$$

Non geometric

Buscher



$$ds^2 = \frac{1}{1 + (Nz)^2} (dx^2 + dy^2) + dz^2$$

$$B_{xy} = \frac{Nz}{1 + (Nz)^2}$$

mix under

$$z \rightarrow z + 1$$

Well defined solution of 10D SUGRA

$$H_{mnp} \xleftrightarrow{T_m} - \omega_{np}^m \xleftrightarrow{T_n} - Q_p^{mn} \xleftrightarrow{T_p} R^{mnp} .$$

What is the 10D interpretation of fluxes?

F-Theory?

- F-theory (p,q) 7-branes transverse to CP1 coupling electrically to a non-linear doublet (triplet + constraint) of 8-forms

[Bergshoeff et al.]

$$\int -C_8 \wedge QF_3 + \tilde{C}_8 \wedge PH_3 + C'_8 \wedge (QH_3 + PF_3)$$

- New fluxes can be understood as **non geometric** compactifications patching with diffeomorphisms, T^2 and ST^2S dualities

Axio-dilaton is not monodromy neutral

 **U-folds**

NON-GEOMETRIC BACKGROUND

A **geometric** string solution has background fields in overlapping coordinate patches related by diffeomorphisms and gauge transformations, while for a **non-geometric** background this is generalised to allow transition functions involving duality transformations.

T-folds Diff+ T-dualities

U-fold Diff +T+ S dualities

[Frey, Grana]
[Dall'Agata]
[Marchesano, Schulgin]
[Hull]
....

D=4 Is not just the reduction of **D=10**
SUGRA effective theory

F-Theory:

Type IIB compactification where the

axio-dilaton

$$S = e^{-\phi} + iC_0$$

Is the **complex structure** of an elliptic fiber

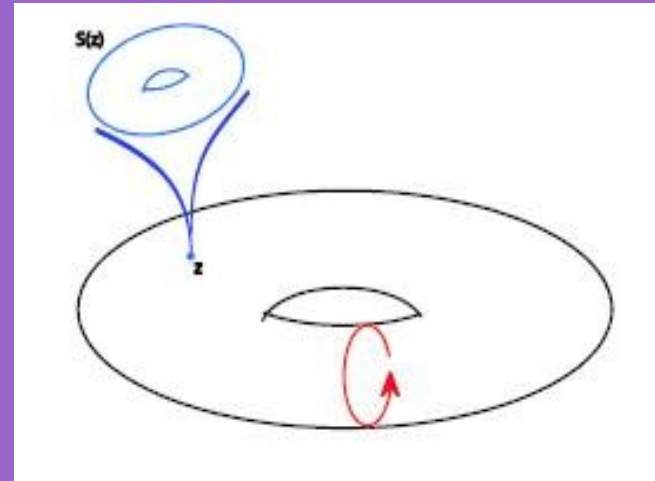
$$T^2$$

Example: $K_3 = T^2$ over CP^1

$$y^2 = x^3 + P(z)x + Q(z),$$

$$P^3 + Q^2 \text{ order 24 polynomial}$$

z Coordinate on the base (sphere)



Invariance under global monodromies $S(\Lambda z) = S(z), \quad \Lambda \in SL(2, \mathbb{Z})$

$$S(z) = -ij^{-1} \left(\frac{P^3(z)}{P^3(z) + Q^2(z)} \right)$$

F-theory on $K3 \times T^2 \times T^2$

Local monodromies around the singularities:

$$\mathcal{N}_7 \equiv \begin{pmatrix} \frac{pq}{2} & p^2 \\ -q^2 & -\frac{pq}{2} \end{pmatrix} \quad \boxed{S \rightarrow e^{\mathcal{N}_7} S}$$

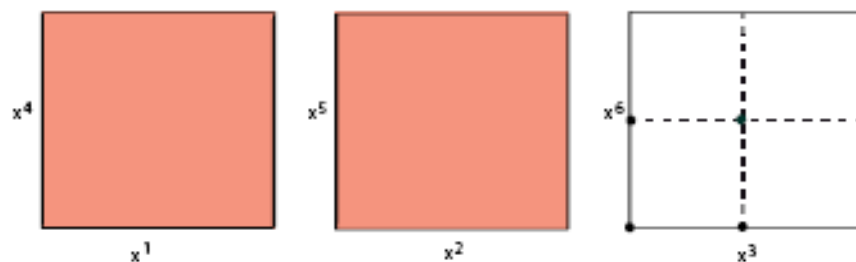


(p,q) 7-branes transverse to CP^1 coupling electrically to a non-linear doublet (triplet + constraint) of 8-forms [Bergshoeff et al.]

Moduli space of 4d theory: positions of 7-branes + S, U_i, T_i

$$\overbrace{SL(2, \mathbb{Z})^7 \subset SO(6, 6) \times SL(2, \mathbb{Z})}$$

Orientifold limit: $P^3(z) \propto Q^2(z)$. Then $S \rightarrow \text{const.}$ and the CP^1 degenerates into T^2/\mathbb{Z}_2



4 D7-branes + 1 O7-plane per fixed point

Non-geometric F-theory compactification

- Acting with those symmetries we can **build new classes of F-theory compactifications**



solutions interpolating between different orientifold involutions

[Frey, Grana]

[Dall'Agata]

[Marchesano, Schulgin]

Example:

$$ds^2 = Z^{-1/2} ds_{\mathbb{R}^{1,3}}^2 + Z^{1/2} \left[\sum_{k=1,2} \frac{t_3 e^{\phi} t_k}{\text{Re } U} |dx^k + iU dx^{k+3}|^2 + \frac{Z^{1/2}}{g_s t_3} |dx^3 + iT_3 dx^6|^2 \right],$$

$$S = t_1 t_2 t_3 + \frac{N^2}{8\pi} \log \vartheta_1(2z^3, -iT_3),$$

$$C_2 = Nx^3(dx^1 \wedge dx^5 - dx^4 \wedge dx^2),$$

$$B_2 = \frac{Nt_3 x^6}{t_1 t_2 t_3 + \frac{N^2}{8\pi} \log |\vartheta_1(2z^3, -iT_3)|^2} (dx^1 \wedge dx^5 - dx^4 \wedge dx^2),$$

$$F_5 = C_2 \wedge H_3 + F_3 \wedge B_2 - \frac{1}{2} F_1 \wedge B_2 \wedge B_2 - C_0 \wedge H_3 \wedge B_2,$$

S is still holomorphic but not monodromy neutral



patching with diffeomorphisms,
T² and ST²S dualities.

(U-fold)

Globally non-geometric compactifications

- **How do fluxes manifest in 4D ?**

D=4 vector multiplets

- **Kaluza Klein**, from dimensional reduction of metric and p-forms
- **7-branes** ADE symmetries
- **3-branes** gauge symmetries

10 D fluxes gauge **KK** vectors

$$A_\mu \equiv B_{\mu p} X^p \quad \delta A_{\mu c} = \partial_\mu \lambda_c - Q_c^{ab} \lambda_a A_{\mu b}$$

$$[X^a, X^b] = Q_p^{ab} X^p \quad \longrightarrow \quad Q_p^{[ab} Q_t^{c]p} = 0$$

By systematic application of $SL(2, \mathbb{Z})$ transformations, the

full **KK** gauge algebra can be found

Kaluza-Klein vectors

$$\begin{aligned}
 [X^a, X^b] &= -\tilde{F}^{abp} Z_p + Q_p^{ab} X^p, \\
 [X^a, Z_b] &= -Q_b^{ap} Z_p, \\
 [X^a, \bar{X}^b] &= Q_p^{ab} \bar{X}^p - \tilde{F}^{abp} \bar{Z}_p, \\
 [\bar{X}^a, Z_b] &= [X^a, \bar{Z}_b] = -Q_b^{ap} \bar{Z}_p, \\
 [\bar{X}^a, \bar{X}^b] &= P_p^{ab} \bar{X}^p - \tilde{H}^{abp} \bar{Z}_p, \\
 [\bar{X}^a, \bar{Z}_b] &= -P_b^{ap} \bar{Z}^p, \\
 [\bar{Z}_a, \bar{Z}_b] &= [Z_a, Z_b] = [Z_a, \bar{Z}_b] = 0.
 \end{aligned}$$

In 4d S-duality manifests as
electric-magnetic duality
($X \leftrightarrow \bar{X}$)

The following information is encoded in the Jacobi identities of the algebra:

Bianchi identities

$$\begin{aligned}
 Q_p^{[ab} Q_l^{c]p} &= 0, \\
 P_p^{[ab} P_l^{c]p} &= 0, \\
 Q_p^{[ab} P_l^{c]p} &= P_p^{[ab} Q_l^{c]p} = 0,
 \end{aligned}$$

$$\begin{aligned}
 Q_p^{[ab} \tilde{F}^{c]lp} + \tilde{F}^{p[ab} Q_p^{c]l} &= 0 \Leftrightarrow \\
 \tilde{H}^{p[ab} P_p^{c]l} + P_p^{[ab} \tilde{H}^{c]lp} &= 0 \Leftrightarrow
 \end{aligned}$$

$$Q_p^{l[a} \tilde{H}^{bc]p} - P_p^{[ab} \tilde{F}^{c]lp} = P_p^{l[a} \tilde{F}^{bc]p} - Q_p^{[ab} \tilde{H}^{c]lp} = 0 \Leftrightarrow$$

Tadpole cancellation

$$\begin{cases}
 QF_3 = 0, \\
 PH_3 = 0, \\
 \left\{ \begin{aligned}
 PF_3 + QH_3 &= 0 \\
 Q_{[b}^{ap} H_{cd]p} - P_{[b}^{ap} F_{cd]p} &= 0
 \end{aligned} \right.
 \end{cases},$$

Gaugings and branes

U(1) generators can be easily incorporated in the algebra

i.e. **Magnetized D9-branes**

$$n_i \mathcal{F}_{i,i+3} \equiv n_i \int_{[\omega_i]} F = m_{i+3}$$

Chern Simons -couplings

$$c_i \int \partial_\mu a^i A^\mu, \quad c_0 \int \partial_\mu a^0 A^\mu$$

$$c_0 = -n\mathcal{F}_{14}\mathcal{F}_{25}\mathcal{F}_{36} = -m_4m_5m_6,$$

$$c_1 = n\mathcal{F}_{14} = m_4n_2n_3,$$

$$c_2 = n\mathcal{F}_{25} = m_5n_1n_3,$$

$$c_3 = n\mathcal{F}_{36} = m_6n_1n_2.$$

with

$$da^i = *_4 dB_2^i, \quad da^0 = - *_4 dC_2, \quad B_2^i = \int_{[\tilde{\omega}_i]} C_6.$$

a^i (RR axions S,T,U) get shifted under U(1)

$$a^i \rightarrow a^i + c_i \chi$$

Green-Schwarz mechanism

Gaugings and branes

Freed-Witten anomaly cancellation: the flux background should not spoil the shift symmetries appearing in the Green-Schwarz mechanism

[Camara, Font, Ibanez]

Superpotential $W_{O9} = \int_{T^6} \Omega \wedge (F_3 + \omega J_c) ,$

must be invariant under axionic shifts $J_c \rightarrow J_c + n\mathcal{F}\chi$


$$\omega_{[ab}^p n\mathcal{F}_{c]p}^I = 0$$

$$[Z_a, Z_b] = \omega_{ab}^p Z_p + n\mathcal{F}_{ab}^I X^I - \mathbf{F}_{abp} Y^p ,$$


$$[Z_a, Y^p] = -\omega_{aq}^p Y^q ,$$

$$[Z_a, X^I] = \mathcal{F}_{aq}^I Y^q ,$$

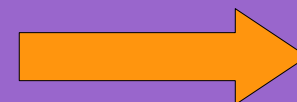
We propose

$$\omega_{[ab}^p \omega_{c]p}^l = 0 ,$$

$$\omega_{[ab}^p n\mathcal{F}_{c]p}^I = 0 ,$$


$$\mathbf{F}_{p[ab}\omega_{c]l}^p + \omega_{[ab}^p \mathbf{F}_{c]lp} = -n \sum_I \mathcal{F}_{[ab}^I \mathcal{F}_{c]l}^I .$$

Generalize to (p,q) 7-branes in F-theory set up



- **Restrictions on fluxes can be found:**

Bianchi Identities, Tadpole cancellation, Anomaly Freed-Witten free

- *Bianchi identities:*

$$Q_p^{[ab} Q_l^{c]p} = 0$$

$$P_p^{[ab} P_l^{c]p} = 0 ,$$

$$Q_p^{[ab} P_l^{c]p} = P_p^{[ab} Q_l^{c]p} = 0 ,$$

$$Q_p^{l[a} \tilde{H}^{bc]p} + Q_p^{[ab} \tilde{H}^{c]lp} - P_p^{l[a} \tilde{F}^{bc]p} - P_p^{[ab} \tilde{F}^{c]lp} = 0 ,$$

- *7-brane tadpoles:*

$$(QF_3)_k = - \sum_I (p_k^I)^2 d_k^I$$

$$(PH_3)_k = - \sum_I (q_k^I)^2 d_k^I ,$$

$$(PF_3 + QH_3)_k = -2 \sum_I p_k^I q_k^I d_k^I$$

- *Freed-Witten anomalies:*

$$Q_p^{[ab} (c_I^k)^{c]p} = 0 ,$$

$$P_p^{[ab} (c_I^k)^{c]p} = 0 ,$$

Comparison with gauged sugra

- Gaugings encoded in tensors: $f_{\alpha MNP}$, $\xi_{\alpha M}$ [Schon & Weidner]

projection $SL(2, \mathbb{Z}) \times SO(6, 6 + n; \mathbb{Z}) \longrightarrow SL(2, \mathbb{Z})^7$ leaves

$$f_{\alpha MNP}, \quad (M \bmod 3) \neq (N \bmod 3) \neq (P \bmod 3)$$

- Algebra and Jacobi identities:

$$[Z_{\alpha A}, Z_{\beta B}] = \delta_{\beta}^{\rho} f_{\alpha AB}^P Z_{\rho P}$$

$$f_{\alpha [AB} f_{\beta B]P}^L = 0$$

$$f_{+AB}^P f_{-BP}^L - f_{-AB}^P f_{+BP}^L = 0$$

Complete agreement with our results if we identify

$$F_{abc} = -f_{+abc}$$

$$Q_a^{bc} = \tilde{\eta}_a^P \hat{\eta}^{bQ} \hat{\eta}^{cR} f_{+PQR} ,$$

$$H_{abc} = -f_{-abc} ,$$

$$P_a^{bc} = \tilde{\eta}_a^P \hat{\eta}^{bQ} \hat{\eta}^{cR} f_{-PQR}$$

$$(C_I)^{ab} = \frac{1}{p^I} \hat{\eta}^{aQ} \hat{\eta}^{bR} f_{+(12+I)QR} = \frac{1}{q^I} \hat{\eta}^{aQ} \hat{\eta}^{bR} f_{-(12+I)QR} ,$$

$$\tilde{\eta}_M^N = \begin{pmatrix} 0 & 0 & 0 & \mathbb{I}_3 \\ 0 & 0 & -\mathbb{I}_3 & 0 \\ 0 & \mathbb{I}_3 & 0 & 0 \\ -\mathbb{I}_3 & 0 & 0 & 0 \end{pmatrix} , \quad \hat{\eta}^{MN} = -\hat{\eta}_{MN} = \begin{pmatrix} 0 & \mathbb{I}_3 & 0 & 0 \\ -\mathbb{I}_3 & 0 & 0 & 0 \\ 0 & 0 & 0 & \mathbb{I}_3 \\ 0 & 0 & -\mathbb{I}_3 & 0 \end{pmatrix} .$$

D=10 Fluxes -Sugra structure constants dictionary

Comparison with gauged sugra

Moreover, requiring the **Scalar potential**

$$V_{\mathcal{N}=4} = -\frac{1}{16} \left[f_{\alpha MNP} f_{\beta QRS} M^{\alpha\beta} \left(\frac{1}{3} M^{MQ} M^{NR} M^{PS} + \left(\frac{2}{3} \eta^{MQ} - M^{MQ} \right) \eta^{NR} \eta^{PS} \right) \right. \\ \left. - \frac{4}{9} f_{\alpha MNP} f_{\beta QRS} \epsilon^{\alpha\beta} M^{MNPQRS} + 3 \xi_{\alpha}^M \xi_{\beta}^N M^{\alpha\beta} M_{MN} \right]$$

to have a **N=1** structure

$$V_{\mathcal{N}=1} = e^K \left(\sum_{\mathbb{T}_i} (\mathbb{T}_i + \bar{\mathbb{T}}_i)^2 |D_{\mathbb{T}_i} W|^2 - 3|W|^2 \right) ,$$

Leads to same constraints plus

$$\tilde{F}^{pqr} H_{pqr} = 0 \\ H_{pq(a} Q_{b)}^{pq} - F_{pq(a} P_{b)}^{pq} = 0 , \quad \hat{\eta}_{pq} P_{[c}^{p[a} Q_{d]}^{b]q} = 0 .$$

Conclusion:

- Duality requires existence of many geometrical and non geometrical fluxes
- F-theory with a non-neutral dilaton provides an interpretation. U-folds
- Gauge algebra can be found for KK and D7 brane vectors
- Jacobi identities encode global 10 D constraints on fluxes: BI, F-theory 7-branes tadpoles, FW anomalies
- N=1 constraints
- Direct connection with D=4 gauged supergravity
- D=4 gauged supergravity incorporates stringy information

Outlook

- **Extend to primed fluxes**
- **Understand N=1 constraints**
- **Solutions with stabilized moduli, phenomenology?**
- **Understand non geometric fluxes from Generalized Geometry**
- **....**

Generalized Geometry

$$T \oplus {}^*T$$

$$\mathcal{L} = -\frac{1}{2}\mathcal{H}_{IJ}(Y)\eta^{\alpha\beta}\partial_\alpha\mathbb{X}^I\partial_\beta\mathbb{X}^J - \eta^{\alpha\beta}\mathcal{J}_{IA}(Y)\partial_\alpha\mathbb{X}^I\partial_\beta Y^A + \mathcal{L}_N(Y)$$

$$\mathcal{H} = \begin{pmatrix} G - BG^{-1}B & BG^{-1} \\ -G^{-1}B & G^{-1} \end{pmatrix}, \quad \text{Generalized metric}$$

$$\mathbb{X}^I = (X^i, \tilde{X})$$

Transition functions contain T-dualities

Include RR fluxes
U dualities

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Type IIA orientifold (O6): $\otimes_{j=1}^3 T_j^2 / \Omega_P (-1)^{F_L} \sigma$

$$\sigma_A(x^i) = x^i \quad ; \quad \sigma_A(y^i) = -y^i \quad ; \quad \sigma_A(\Omega) = \Omega^* \quad ; \quad \sigma(J) = -J$$

In terms of complexified forms

$$J_c = B + iJ \quad ; \quad \Omega_c = C_3 + i\text{Re}(C\Omega)$$

with
$$C = e^{-\phi_4} \left[\frac{1}{8i} \int \Omega \wedge \Omega^* \right]^{-1/2} \quad ; \quad e^{\phi_4} = e^\phi / (\text{Vol})^{1/2}$$

CLOSED MODULI

	dim.	form	dual form	moduli
Kähler	$h_{(1,1)}^-$	ω_A	$\tilde{\omega}_A$	$T_A = -i \int J_c \wedge \tilde{\omega}_A$
c.s + dilaton	$1 + h_{(1,2)}$	α_L	β_L	$U_L = i \int \Omega_c \wedge \beta_L$

Type IIA fluxes

Turn on RR and NSNS fluxes

$$\bar{F}_0 = -m \quad ; \quad \bar{F}_2 = \sum_{A=1}^{h_{11}^-} q_A \omega_A \quad ; \quad \bar{F}_4 = \sum_{A=1}^{h_{11}^-} e_A \tilde{\omega}_A \quad ; \quad \bar{F}_6 = e_0 dVol_6$$
$$\bar{H}_3 = \sum_{L=0}^{h_{12}} h_L \beta_L$$

Geometric fluxes (Scherk-Schwarz)

$$ds^2 = \sum_k (dx^k + \omega_{pq}^k x^q dx^p)^2$$

Correspond to

$$d\eta^p = -\frac{1}{2} \omega_{mn}^p \eta^m \wedge \eta^n.$$

EXAMPLE:

$$\mathbb{T}^6 = \bigotimes_{i=1}^3 \mathbb{T}_i^2$$

3-forms with one leg on each sub-torus

$$\alpha_0 = dx^1 \wedge dx^2 \wedge dx^3 \quad ; \quad \beta_0 = dy^1 \wedge dy^2 \wedge dy^3 \quad ,$$

$$\alpha_1 = dx^1 \wedge dy^2 \wedge dy^3 \quad ; \quad \beta_1 = dy^1 \wedge dx^2 \wedge dx^3 \quad , \dots$$

Closed 2-forms and their dual 4-forms

$$\omega_i = -dx^i \wedge dy^i \quad ; \quad \tilde{\omega}_i = dx^j \wedge dy^j \wedge dx^k \wedge dy^k \quad ; \quad i \neq j \neq k \quad .$$

Complex structure parameters $\tau_j = \frac{1}{e_{jx}^2} (A_j + i e_{jx} \cdot e_{jy})$ where $e_{jx} (e_{jy})$

Are lattice vectors of sizes $R_x^j (R_y^j)$ and $(2\pi)^2 A_j$ area of \mathbb{T}_j^2

Kaler and holomorphic 3-foms

$$J = \sum_{i=1}^3 A_i \omega_i$$

$$\Omega = (dx^1 + i\tau_1 dy^1) \wedge (dx^2 + i\tau_2 dy^2) \wedge (dx^3 + i\tau_3 dy^3)$$

Scherk-Schwarz

$$X^M \quad \longrightarrow \quad \begin{array}{l} x^\mu \quad \mu = 1, \dots, d(4) \\ y^a \quad a = 1, \dots, d_I(6) \end{array}$$

$$ds^2 = g_{\mu\nu}(x) dx^\mu \otimes dx^\nu + g_{ab}(x) (dy^a + A_\mu^a(x) dx^\mu) \otimes (dy^b + A_\mu^b(x) dx^\mu)$$

$$g_{MN} \quad \longrightarrow \quad g_{\mu\nu}(x); \quad g_{ab}(x); \quad A_\mu^a(x)$$



$$g_{MN} \quad \longrightarrow \quad V_M^n \quad V_M^n \quad \longrightarrow \quad V_\mu^m; \quad \Phi_a^i(x); \quad A_\mu^a(x)$$

$$\delta V_M^m = \xi^P \partial_P V_M^m + \partial_M \xi^P V_P^m$$

choose

$$\xi^P(x, y) = \xi^P(x)$$

$$\delta V_\mu^m = \delta \Phi_a^i = 0$$

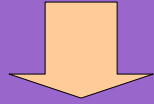
$$\delta A_\mu^a = \partial_\mu \xi^a$$

$U(1)^6$

$$\xi^\mu(x, y) = \xi^\mu(x)$$

(Scherk-Schwarz 1979)

$$\xi^a(x, y) = [U^{-1}]_b^a \xi^b(x)$$



$$\delta V_\mu^m = 0$$

$$\delta \Phi_a^i = \omega_{bc}^a \Phi_c^i$$

$$\delta A_\mu^a = \partial_\mu \xi^a + \omega_{bc}^a \xi^b A_\mu^c$$

$$\omega_{ab}^c = [U^{-1}]_{a'}^c [U^{-1}]_b^{a'} (\partial_{b'} U_{a'}^c - \partial_{a'} U_{b'}^c)$$

Metric fluxes



gaugings

$$\omega_{ab}^c$$